Stretch Colin Form 4 August 17, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Stretch Colin

(First)

(Street)

Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(Middle)

Facebook Inc [FB]

Director

(Check all applicable)

VP and General Counsel

C/O FACEBOOK, INC., 1601

3. Date of Earliest Transaction

(Month/Day/Year) 08/15/2017

10% Owner Other (specify

X\_ Officer (give title below)

WILLOW ROAD

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

MENLO PARK, CA 94025

Common

(City)	(State)	(Zip) Tak	ole I - Non-	-Derivativ	e Secu	ırities Acquir	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securionor Dispo (Instr. 3,	sed of 4 and (A) or	` '	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	08/15/2017		С	7,681 (1)	A	\$ 0	90,087	D	
Class A Common Stock	08/15/2017		F	3,980 (2)	D	\$ 170.75	86,107	D	
Class A Common Stock	08/15/2017		M	6,444	A	\$ 0	92,551	D	
Class A	08/15/2017		F	3,333	D	\$ 170.75	89,218	D	

(2)

Class A Common Stock	08/15/2017	M	8,018	A	\$ 0	97,236	D
Class A Common Stock	08/15/2017	F	4,138 (2)	D	\$ 170.75	93,098	D
Class A Common Stock	08/15/2017	M	4,952	A	\$ 0	98,050	D
Class A Common Stock	08/15/2017	F	2,544 (2)	D	\$ 170.75	95,506	D
Class A Common Stock	08/15/2017	M	4,713	A	\$ 0	100,219	D
Class A Common Stock	08/15/2017	F	2,378 (2)	D	\$ 170.75	97,841	D
Class A Common Stock	08/15/2017	S(3)	750	D	\$ 171.1529 (4)	97,091	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit (RSU) (Class B)	<u>(5)</u>	08/15/2017		M		7,681	<u>(6)</u>	05/02/2022	Class B Common Stock (7)	7,681

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Class B Common Stock (7)	<u>(7)</u>	08/15/2017	M	7,681	<u>(7)</u>	<u>(7)</u>	Class A Common Stock	7,681
Class B Common Stock (7)	<u>(7)</u>	08/15/2017	C	7,681 (8)	<u>(7)</u>	<u>(7)</u>	Class A Common Stock	7,681
Restricted Stock Units (RSU) (Class A)	(9)	08/15/2017	M	6,444	<u>(6)</u>	05/05/2023	Class A Common Stock	6,444
Restricted Stock Units (RSU) (Class A)	<u>(9)</u>	08/15/2017	M	8,018	(10)	03/16/2024	Class A Common Stock	8,018
Restricted Stock Units (RSU) (Class A)	<u>(9)</u>	08/15/2017	M	4,952	(11)	03/15/2025	Class A Common Stock	4,952
Restricted Stock Units (RSU) (Class A)	(9)	08/15/2017	M	4,713	(12)	03/14/2027	Class A Common Stock	4,713

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

Stretch Colin

C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025

VP and General Counsel

## **Signatures**

/s/ Michael Johnson as attorney-in-fact for Colin Stretch 08/17/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares that were acquired upon conversion of Class B Common Stock to Class A Common Stock in connection with the settlement of the Restricted Stock Units ("RSUs") listed in Table II.

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- Represents the number of shares of Class A Common Stock that have been withheld by the issuer to satisfy its income tax withholding and remittance obligations in connection with the net settlement of the RSUs and does not represent a sale by the reporting person.
- (3) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the holder.
- (4) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$170.50 to \$171.49 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (5) Each RSU represents a contingent right to receive 1 share of the issuer's Class B Common Stock upon settlement.
- (6) The RSUs vest as to 1/16th of the total shares on February 15, 2014 and then an additional 1/16th of the total shares vest quarterly thereafter, subject to continued service through each vesting date.
- (7) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares, and has no expiration date.
- (8) The holder elected to convert the shares of Class B Common Stock into Class A Common Stock on a 1-for-1 basis.
- (9) Each RSU represents a contingent right to receive 1 share of the issuer's Class A Common Stock upon settlement.
- (10) The RSUs vest as to 1/5th of the total shares on February 15, 2015, after which 1/20th of the total shares vest quarterly, subject to continued service through each vesting date.
- (11) The RSUs vest as to 1/16th of the total shares quarterly, beginning on February 15, 2016, subject to continued service through each vesting date.
- (12) The RSUs shall vest quarterly as to 1/16th of the total shares, commencing the first quarter following May 15, 2017, subject to continued service through each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.