DOLLAR TREE INC

Form 4 March 09, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Jacobs David A.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

DOLLAR TREE INC [DLTR]

3. Date of Earliest Transaction

(Check all applicable)

(First) (Middle) (Last)

(Street)

(Month/Day/Year)

Director 10% Owner X_ Officer (give title Other (specify below)

03/08/2017

below) Chief Strategy Officer

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

CHESAPEAKE, VA 23320

500 VOLVO PARKWAY

(City) (Zip)

(State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I)

Ownership (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number Transaction of Derivative Expiration Date Code Securities

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount of Underlying Securities (Instr. 3 and 4)

Edgar Filing: DOLLAR TREE INC - Form 4

| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. 8 | 8) Acquired (A) or Disposed of (D) (Instr. 3, 4) and 5) | | d of | | | | |
|--------------------------|------------------------------------|---------------|------------------|-----------|---|-------|------|------------------|--------------------|-----------------|---------------------------------------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amoun or Number of Shares |
| Restricted Stock Unit | \$ 0 (1) | 03/08/2017(2) | | A | | 8,745 | | 03/18/2017(3) | 03/18/2017 | Common Stock | 8,745 |
| Restricted Stock Unit | \$ 0 (1) | 03/08/2017(4) | | A | | 4,198 | | 04/01/2017(5) | 04/01/2017 | Common Stock | 4,198 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Jacobs David A.

500 VOLVO PARKWAY CHESAPEAKE, VA 23320

Chief Strategy Officer

Signatures

/s/ Shawnta Totten-Medley, attorney-in-fact for David A. Jacobs

03/09/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Convert without cost to shares of common stock on a one-for-one basis.
- The Compensation Committee certified on 3/8/2017 that the Company achieved its 2016 performance target for performance-based (2) restricted stock units granted on 3/18/2016, and that shares will vest in approximately three equal installments, beginning on the first anniversary of the award date, subject to continued employment.
- (3) Portion vested at anniversary of three-year award.
- (4) The Compensation Committee certified on 3/8/2017 the level of performance achieved for the performance-based RSUs awarded on 4/1/2014 pursuant to the Long-Term Performance Plan for fiscal years 2014, 2015 and 2016. The award will fully vest on April 1, 2017.
- (5) The award will fully vest on April 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2