Edgar Filing: POLYONE CORP - Form 4

POLYONE CORP Form 4							
February 17, 2017							
	OMB A	OMB APPROVAL					
C	JNITED STATES	SECURITIES A Washington,		COMMISSION	OMB Number:	3235-0287	
Subject to Section 16. Form 4 or Form 5	WNERSHIP OF nge Act of 1934, of 1935 or Section 940	Expires: January 31, 2005 Estimated average burden hours per response 0.5					
(Print or Type Responses	5)						
1. Name and Address of San Martin Neto Joa	· ·	2. Issuer Name and Symbol POLYONE COR	-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (Firs					neek an applicable)		
POLYONE CENTE WALKER ROAD	ER, 33587	(Month/Day/Year) Director 02/15/2017X_ Officer (give below)SV				b Owner er (specify	
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)			-	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
AVON LAKE, OH	44012			Person		porting	
(City) (State	e) (Zip)	Table I - Non-D	Derivative Securities A	cquired, Disposed of	, or Beneficial	lly Owned	
	action Date 2A. Deeme Day/Year) Execution any (Month/Da	Date, if Transaction	(A) or	SecuritiesFBeneficially(IOwned(I	orm: Direct D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report on a se	eparate line for each cla	ass of securities benef	icially owned directly o	or indirectly.			
			Persons who res information cont required to respo	pond to the collect ained in this form a ond unless the form atly valid OMB con	are not n	EC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	nof Derivative	Expiration Date	Underlying Securitie
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5)	f			
				Code V	(A) (I	D) Date Exercisable	Expiration Date	Title	Amour or Numbe of Shares
Restricted Stock Units	<u>(1)</u>	02/15/2017		А	2,950	02/15/2020	02/15/2020	Common Stock	2,95
Stock Appreciation Rights	\$ 34.1	02/15/2017		А	8,850	(2)	02/15/2027	Common Stock	8,85

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
San Martin Neto Joao Jose POLYONE CENTER 33587 WALKER ROAD AVON LAKE, OH 44012			SVP & CHRO	
Signatures				
/s/ Lisa K. Kunkle, Power of A Neto	02/17/2017			

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of PolyOne common stock.

SARs become exercisable and vest only upon the achievement of both price and time requirements. To vest, each one-third of the grant must attain 10%, 15% and 20% stock appreciation, respectively (which must be maintained for a minimum of thirty consecutive trading

Date

(2) Indistruction 10%, 15% and 25% stock appreciation, respectively (which must be maintained for a minimum of antry consecutive rading days) from the grant date closing price of \$34.10 per share and no more than one-third of the grant can vest per year during the first three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.