Edgar Filing: WAL MART STORES INC - Form 3

WAL MART STORES INC

Form 3

February 10, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol Requiring Statement Furner John R. WAL MART STORES INC [WMT] (Month/Day/Year) (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original 02/01/2017 Person(s) to Issuer Filed(Month/Day/Year) 702 S.W. 8TH STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% _X_ Form filed by One Reporting Owner Person BENTONVILLE, Â ARÂ 72716-0215 X Officer Other Form filed by More than One (give title below) (specify below) Reporting Person **Executive Vice President** (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (I) (Instr. 5) Â D Common 32,951.052 1.619.147 By 401(k) plan Common I Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
		Title	Derivative	Security:	
			Security	Direct (D)	

Edgar Filing: WAL MART STORES INC - Form 3

	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units	(1)	(1)	Common	3,236	\$ <u>(1)</u>	D	Â
Restricted Stock Units	(2)	(2)	Common	1,954	\$ <u>(2)</u>	D	Â
Restricted Stock Units	\hat{A} (3)	(3)	Common	1,858	\$ (3)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
reporting owner runner runners	Director	10% Owner	Officer	Other	
Furner John R. 702 S.W. 8TH STREET BENTONVILLE, AR 72716-0215	Â	Â	Executive Vice President	Â	

Signatures

/s/ Jennifer F. Rudolph, by power of attorney

02/10/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units are the economic equivalent of an equal number of shares of common stock, are payable in the form of cash, and vest on August 7, 2018.
- (2) The restricted stock units are the economic equivalent of an equal number of shares of common stock, are payable in the form of cash, and vest on April 4, 2017.
- (3) The restricted stock units are the economic equivalent of an equal number of shares of common stock, are payable in the form of cash, and vest on March 13, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2