3M CO Form 4/A February 09, 2017

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

5. Relationship of Reporting Person(s) to

Issuer

Expires: January 31, 2005

**OMB APPROVAL** 

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

2. Issuer Name and Ticker or Trading

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Symbol

1(b).

Stock

(Print or Type Responses)

Ashish Khandpur K

1. Name and Address of Reporting Person \*

•			3M CO [MMM]					(Check all applicable)					
(Last) (First) (STATE) (Last) (First) (Control of the control of t		(Middle) 3. Date of Earliest Transaction (Month/Day/Year) 02/07/2017						Director 10% Owner X Officer (give title Other (specify below) Sr. VP, Chief Tech. Officer					
ST. PAUL	4. If Amendment, Date Original Filed(Month/Day/Year) 02/09/2017					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person							
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock	02/07/2017			A	4,763.471	A	\$0	5,888 (1)	D				
Common Stock	02/07/2017			F	2,331	D	\$ 0	3,557 <u>(1)</u>	D				
Common Stock								752	I	by Spouse			
Common								751	I	Spouse			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

401k/paesop

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
	security			Code V	(Instr. 3, 4, and 5)  (A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S
Non-qualified Stock Option (Right to Buy)	\$ 175.76	02/07/2017		A	29,803	02/07/2018	02/08/2027	Common Stock	29,

#### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Other Director 10% Owner Officer

Ashish Khandpur K 3M CENTER ST. PAUL, MN 55144-1000

Sr. VP, Chief Tech. Officer

### **Signatures**

/s/ Sheila B. Claugherty, attorney-in-fact for Ashish K. Khanpur

02/09/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Due to a computational error caused by a third-party vendor's filing software, the reporting person is refiling a report filed earlier today, solely to correct total holdings reported in Column 5 of Table 1.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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