TORO CO Form 3 October 18, 2016

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol Requiring Statement TORO CO [TTC] HAMILTON BRADLEY A (Month/Day/Year) 10/12/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 8111 LYNDALE AVENUE (Check all applicable) **SOUTH** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting VP & GM, Commercial Person BLOOMINGTON, MNÂ 55420 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 4) (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 1,033.766 The Toro Company Investment, Common Stock 766.772 Ι Savings & ESOP Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
2. Date Exercisable and Generation Date Expiration Date (Month/Day/Year)
3. Title and Amount of Expiration Date (Month/Day/Year)
4. 5. 6. Nature of Indirect Conversion Ownership or Exercise Form of Ownership

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			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option	(1)	12/03/2018	Common Stock	6,180	\$ 7.155	D	Â
Non-Qualified Stock Option	(2)	12/01/2019	Common Stock	6,060	\$ 10.1825	D	Â
Non-Qualified Stock Option	(3)	12/08/2020	Common Stock	3,340	\$ 15.88	D	Â
Non-Qualified Stock Option	(4)	12/08/2020	Common Stock	3,340	\$ 15.88	D	Â
Non-Qualified Stock Option	(5)	12/07/2021	Common Stock	3,480	\$ 14.1125	D	Â
Non-Qualified Stock Option	(6)	12/11/2022	Common Stock	2,600	\$ 21.03	D	Â
Non-Qualified Stock Option	(7)	12/06/2023	Common Stock	1,940	\$ 29.75	D	Â
Non-Qualified Stock Option	(8)	12/06/2023	Common Stock	1,940	\$ 29.75	D	Â
Non-Qualified Stock Option	(9)	12/05/2024	Common Stock	2,230	\$ 31.375	D	Â
Non-Qualified Stock Option	(10)	12/04/2025	Common Stock	10,800	\$ 38.82	D	Â
Restricted Stock Units	(11)	(11)	Common Stock	158.03	\$ (12)	D	Â
Restricted Stock Units	(13)	(13)	Common Stock	70.702	\$ (12)	D	Â
Restricted Stock Units	(14)	(14)	Common Stock	207.93	\$ <u>(12)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
HAMILTON BRADLEY A					
8111 LYNDALE AVENUE SOUTH	Â	Â	VP & GM, Commercial	Â	
BLOOMINGTON Â MNÂ 55420					

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## **Signatures**

/s/ Nancy A. McGrath, Attorney-In-Fact

10/18/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 3, 2008.
- The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 1, 2009.
- (3) The option vested in full on the third anniversary of the date of grant, which was December 8, 2010.
- (4) The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 8, 2010.
- (5) The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 7, 2011.
- The option vested in three equal annual installments commencing on the first anniversary of the date of grant, which was December 11, 2012.
- (7) The option vests in full on the third anniversary of the date of grant, which was December 6, 2013.
- (8) The option vests in three equal annual installments commencing on the first anniversary of the date of grant, which was December 6, 2013
- (9) The option vests in three equal annual installments commencing on the first anniversary of the date of grant, which was December 5, 2014
- (10) The option vests in three equal annual installments commencing on the first anniversary of the date of grant, which was December 4, 2015.
- (11) The restricted stock units vest and become non-forfeitable in three equal annual installments commencing on December 5, 2015, which is the first anniversary of the date of grant.
- (12) Each restricted stock unit represents a contingent right to receive one share of Toro common stock.
- (13) The restricted stock units vest and become non-forfeitable in three equal annual installments commencing on December 6, 2014, which was the first anniversary of the date of grant.
- (14) The restricted stock units vest and become non-forfeitable in full on December 6, 2016, which is the third anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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