CORNING INC /NY

Form 3

December 04, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement CORNING INC /NY [GLW] A Schlesinger Edward A (Month/Day/Year) 12/02/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE RIVERFRONT PLAZA (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person CORNING, NYÂ 14831 (give title below) (specify below) Form filed by More than One Vice President & Controller Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 19,470 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of		
	(· · · · · · · · · · · · · · · · · · ·		•					
			(Instr. 4)		Price of	Derivative	(Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:		
					Security	Direct (D)		
					•	or Indirect		

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				Shares		(I) (Instr. 5)	
Restricted Stock Unit	(1)	(1)	Common Stock	6,564	\$ <u>(2)</u>	D	Â
Restricted Stock Unit	(3)	(3)	Common Stock	4,203	\$ <u>(2)</u>	D	Â
Restricted Stock Unit	(4)	(4)	Common Stock	4,134	\$ (2)	D	Â
Stock Options (Right to Buy)	03/28/2016	03/28/2023	Common Stock	6,252	\$ 13.33	D	Â
Stock Options (Right to Buy)	04/30/2016	04/30/2023	Common Stock	5,747	\$ 14.5	D	Â
Stock Options (Right to Buy)	05/31/2016	05/31/2023	Common Stock	5,422	\$ 15.37	D	Â
Stock Options (Right to Buy)	03/31/2017	03/31/2024	Common Stock	2,101	\$ 20.82	D	Â
Stock Options (Right to Buy)	04/30/2017	04/30/2024	Common Stock	2,092	\$ 20.91	D	Â
Stock Options (Right to Buy)	05/30/2017	05/30/2024	Common Stock	2,054	\$ 21.3	D	Â
Stock Options (Right to Buy)	03/31/2018	03/31/2025	Common Stock	2,067	\$ 22.68	D	Â
Stock Options (Right to Buy)	04/30/2018	04/30/2025	Common Stock	2,240	\$ 20.93	D	Â
Stock Options (Right to Buy)	05/29/2018	05/29/2025	Common Stock	2,241	\$ 20.92	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
Transfer of the same	Director	10% Owner	Officer	Other		
Schlesinger Edward A ONE RIVERFRONT PLAZA CORNING, NY 14831	Â	Â	Vice President & Controller	Â		
Signaturos						

Signatures

Linda E. Jolly, Power of
Attorney

**Signature of Reporting Person

Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Restricted Stock Units vest 100% on April 18, 2016. Vested shares will be delivered to the reporting person within thirty (30) days
- (1) after April 18, 2016. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 18, 2016.
- (2) Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock.
- The Restricted Stock Units vest 100% on April 17, 2017. Vested shares will be delivered to the reporting person within thirty (30) days (3) after April 17, 2017. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 17, 2017.
- The Restricted Stock Units vest 100% on April 16, 2018. Vested shares will be delivered to the reporting person within thirty (30) days after April 16, 2018. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 16, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.