UMB FINANCIAL CORP
Form 3
December 03, 2015

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Â Swett Christian R
(Last) (First) (Middle)

1010 GRAND BLVD.
(Street)

KANSAS CITY,Â MOÂ 64106
(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)
11/23/2015

3. Issuer Name **and** Ticker or Trading Symbol
UMB FINANCIAL CORP [UMBF]

4. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
_____ Director _____ 10% Owner
__X__ Officer (give title below) _____ Other (specify below)
EVP/Chief Credit Officer

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	5,632.7881	D	Â
Common Stock	843.9034	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	11/13/2009	12/13/2016	Common Stock	1,400	$ 36.36	D	Â
Stock Option (Right to Buy)	11/20/2010	12/20/2017	Common Stock	1,400	$ 38.54	D	Â
Stock Option (Right to Buy)	10/17/2011	11/07/2018	Common Stock	1,400	$ 40.93	D	Â
Stock Option (Right to Buy)	01/01/2012	01/01/2019	Common Stock	791	$ 41.37	D	Â
Stock Option (Right to Buy)	01/01/2013	01/01/2020	Common Stock	434	$ 37.84	D	Â
Stock Option (Right to Buy)	01/01/2014(1)	01/01/2021	Common Stock	1,935	$ 41.71	D	Â
Stock Option (Right to Buy)	01/01/2015(2)	01/01/2022	Common Stock	2,501	$ 39.97	D	Â
Stock Option (Right to Buy)	01/01/2016(3)	01/01/2023	Common Stock	2,532	$ 45.58	D	Â
Stock Option (Right to Buy)	01/01/2017(4)	01/01/2024	Common Stock	2,038	$ 57.4	D	Â
Stock Option (Right to Buy)	02/11/2018(5)	02/11/2025	Common Stock	4,046	$ 51.42	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Swett Christian R 1010 GRAND BLVD. KANSAS CITY,Â MOÂ 64106	Â	Â	Â EVP/Chief Credit Officer	Â

Signatures

/s/ John C. Pauls, attorney-in-fact for Mr. Swett	12/03/2015
**Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options exercisable 50% after 1/1/14, 75% after 1/1/15, and 100% after 1/1/16

(2) Options will vest 50% on 1/1/2015, 75% on 1/1/2016 and 100% on 1/1/2017.

(3) The option vests 50% on 1/1/16; 75% on 1/1/17 and 100% on 1/1/18

(4) Options vest 50% on 1/1/2017, 75% on 1/1/2018, and 100% on 1/1/2019

(5) Options will vest 50% on 2/11/2018, 75% on 2/11/2019, and 75% on 2/11/2020