CORNING INC /NY

Form 4

January 30, 2015

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Morse David L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

CORNING INC /NY [GLW]

(Last) (First) 3. Date of Earliest Transaction (Month/Day/Year)

Director \_X\_\_ Officer (give title

10% Owner Other (specify

ONE RIVERFRONT PLAZA

01/28/2015

below) **Executive Vice President** 

(Check all applicable)

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

CORNING, NY 14831

| (City)                               | (State)                                 | (Zip) Tak   | ole I - Non-    | Derivative               | Secu                  | rities Acquir        | red, Disposed of,  | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|-----------------|--------------------------|-----------------------|----------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 8) | omr Dispos<br>(Instr. 3, | ed of (4 and 3 (A) or | 5)                   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common                               | 01/00/0015                              |   | Code V          | Amount                   | (D)                   | Price                |  | ъ  |   |
| Stock                                | 01/28/2015                              |   | M               | 21,500                   | A                     | \$ 21.08             | 36,858   | D  |   |
| Common<br>Stock                      | 01/28/2015                              |   | M               | 13,222                   | A                     | \$ 8.67              | 50,080   | D  |   |
| Common<br>Stock                      | 01/28/2015                              |   | M               | 13,223                   | A                     | \$ 10.05             | 63,303   | D  |   |
| Common<br>Stock                      | 01/28/2015                              |   | M               | 13,223                   | A                     | \$ 10.25             | 76,526   | D  |   |
| Common<br>Stock                      | 01/28/2015                              |   | S               | 61,168                   | D                     | \$<br>25.0154<br>(1) | 15,358   | D  |   |

#### Edgar Filing: CORNING INC /NY - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|--|--|--------|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A)  | (D)    | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Options<br>(Right to<br>Buy)               | \$ 21.08  | 01/28/2015                              |   | M                                      |  | 21,500 | 12/07/2006   | 12/06/2015         | Common<br>Stock   | 21,500                              |
| Stock<br>Options<br>(Right to<br>Buy)               | \$ 8.67   | 01/28/2015                              |   | M                                      |  | 13,222 | 12/03/2009   | 12/02/2018         | Common<br>Stock   | 13,222                              |
| Stock<br>Options<br>(Right to<br>Buy)               | \$ 10.05  | 01/28/2015                              |   | M                                      |  | 13,223 | 01/02/2010   | 01/01/2019         | Common<br>Stock   | 13,223                              |
| Stock<br>Options<br>(Right to<br>Buy)               | \$ 10.25  | 01/28/2015                              |   | M                                      |  | 13,223 | 02/02/2010   | 02/01/2019         | Common<br>Stock   | 13,223                              |

# **Reporting Owners**

| Reporting Owner Name / Address            | Relationships      |  |                          |       |  |  |  |
|---|--------------------|--|--------------------------|-------|--|--|--|
|   | Director 10% Owner |  | Officer                  | Other |  |  |  |
| Morse David L                             |                    |  | Encoding Wise Davidant   |       |  |  |  |
| ONE RIVERFRONT PLAZA<br>CORNING, NY 14831 |                    |  | Executive Vice President |       |  |  |  |

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## **Signatures**

Linda E. Jolly, Power of Attorney 01/30/2015

\*\*Signature of Reporting Person I

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$25.0100 to \$25.0520, inclusive. The reporting person undertakes to provided to Corning Incorporated, any security holder of Corning Incorporated, or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth previously in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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