UMB FINANCIAL CORP
Form 4
June 05, 2014

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL
OMB Number: 3235-0287
Expires: January 31, 2005
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Smith Lawrence G
(Last) (First) (Middle)
1010 GRAND BLVD
(Street)
KANSAS CITY, MO 64106
(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
UMB FINANCIAL CORP [UMBF]

3. Date of Earliest Transaction (Month/Day/Year)
06/04/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
_____ Director _____ 10% Owner
__X__ Officer (give title below) _____ Other (specify below)
Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	06/04/2014		M		1,139	A	$ 41.37	8,424.8075 (1)	D	
Common Stock	06/04/2014		M		1,189	A	$ 37.84	9,613.8075	D	
Common Stock	06/04/2014		M		2,095	A	$ 41.71	11,708.8075	D	
Common Stock	06/04/2014		S		1,755	D	$ 57.4	9,953.8075	D	
Common Stock	06/04/2014		S		2,095	D	$ 57.44	7,858.8075	D	

Common Stock	06/04/2014		S		1,139	D	$ 57.5	6,719.8075	D	
Common Stock								974.7779 (2)	I	By 401(k)
Common Stock								209.1483 (3)	I	by ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	$ 41.37	06/04/2014		M			1,139	01/01/2012 (4)	01/01/2019	Common Stock	1,139
Stock Option (Right to Buy)	$ 37.84	06/04/2014		M			1,189	01/01/2013 (5)	01/01/2020	Common Stock	1,189
Stock Option (Right to Buy)	$ 41.71	06/04/2014		M			2,095	01/01/2014 (6)	01/01/2021	Common Stock	2,095

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
			Executive Vice President	

Smith Lawrence G
1010 GRAND BLVD
KANSAS CITY, MO 64106

Signatures

John C. Pauls, Attorney-In-Fact for Mr. Smith	06/05/2014
**Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares acquired through dividend reinvestment of restricted stock shares

(2) Participant acquired shares of UMB Financial Corporation through contributions to the UMB Financial 401(k) Plan

(3) Reflects ESOP allocations and dispositions that have occured

(4) Options will vest 50% on 1/1/2012, 75% on 1/1/2013, and 100% on 1/1/2014

(5) Options will vest 50% on 1/1/2013; 75% on 1/1/2014; and 100% on 1/1/2015

(6) Options will vest 50% on 1/1/2014, 75% on 1/1/2015 and 100% on 1/1/2015

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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