

UMB FINANCIAL CORP

Form 4

March 14, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Zader John

(Last) (First) (Middle)

803 W MICHIGAN STREET

(Street)

MILWAUKEE, WI 53233

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

UMB FINANCIAL CORP [UMBF]

3. Date of Earliest Transaction
(Month/Day/Year)

03/12/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

EVP and COO of subsidiary

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	03/12/2014		M		882 A	\$ 37.73	14,856.9087 D
Common Stock	03/12/2014		M		5,135 A	\$ 41.37	19,991.9087 D
Common Stock	03/12/2014		M		4,022 A	\$ 37.84	24,013.9087 D
Common Stock	03/12/2014		M		2,473 A	\$ 41.71	26,486.9087 D
Common Stock	03/12/2014		S		476 D	\$ 64	26,010.9087 D

Edgar Filing: UMB FINANCIAL CORP - Form 4

Common Stock	03/12/2014	S	324	D	\$ 64.01	25,686.9087	D	
Common Stock	03/12/2014	S	200	D	\$ 64.02	25,486.9087	D	
Common Stock	03/12/2014	S	1,300	D	\$ 64.05	24,186.9087	D	
Common Stock	03/12/2014	S	1,100	D	\$ 64.1	23,086.9087	D	
Common Stock	03/12/2014	S	1,000	D	\$ 64.1001	22,086.9087	D	
Common Stock	03/12/2014	S	100	D	\$ 64.11	21,986.9087	D	
Common Stock	03/12/2014	S	629	D	\$ 64.1201	21,357.9087	D	
Common Stock	03/12/2014	S	200	D	\$ 64.13	21,157.9087	D	
Common Stock	03/12/2014	S	300	D	\$ 64.15	20,857.9087	D	
Common Stock	03/12/2014	S	400	D	\$ 64.25	20,457.9087	D	
Common Stock	03/12/2014	S	1,000	D	\$ 64.3001	19,457.9087	D	
Common Stock	03/12/2014	S	400	D	\$ 64.4	19,057.9087	D	
Common Stock	03/12/2014	S	200	D	\$ 64.41	18,857.9087	D	
Common Stock	03/12/2014	S	600	D	\$ 64.42	18,257.9087	D	
Common Stock	03/12/2014	S	700	D	\$ 64.5	17,557.9087	D	
Common Stock	03/12/2014	S	2,000	D	\$ 64.5101	15,557.9087	D	
Common Stock	03/12/2014	S	700	D	\$ 64.55	14,857.9087	D	
Common Stock	03/12/2014	S	583	D	\$ 64.56	14,274.9087	D	
Common Stock	03/12/2014	S	300	D	\$ 64.57	13,974.9087	D	
Common Stock						177.9451	I	By ESOP

Edgar Filing: UMB FINANCIAL CORP - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 37.73	03/12/2014		M	882	01/01/2011 ⁽¹⁾	01/01/2018	Common Stock	882
Stock Option (Right to Buy)	\$ 41.37	03/12/2014		M	5,135	01/01/2012 ⁽²⁾	01/01/2019	Common Stock	5,135
Stock Option (Right to Buy)	\$ 37.84	03/12/2014		M	4,022	01/01/2013 ⁽³⁾	01/01/2020	Common Stock	4,022
Stock Option (Right to Buy)	\$ 41.71	03/12/2014		M	2,473	01/01/2014	01/01/2021	Common Stock	2,473

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Zader John
803 W MICHIGAN STREET
MILWAUKEE, WI 53233

EVP and COO of subsidiary

Signatures

John C Pauls, Attorney in fact for Mr.
Zader

03/14/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options will vest 50% on 1/1/2011; 75% on 1/1/2012; and 100% on 1/1/2013
- (2) Options will vest 50% on 1/1/2012, 75% on 1/1/2013, and 100% on 1/1/2014
- (3) Options will vest 50% on 1/1/2013; 75% on 1/1/2014; and 100% on 1/1/2015

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.