

CHEVRON CORP
Form 3
January 07, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Breber Pierre R

(Last) (First) (Middle)

6001 BOLLINGER CANYON ROAD

(Street)

SAN RAMON,Â CAÂ 94583

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

01/01/2014

3. Issuer Name **and** Ticker or Trading Symbol
CHEVRON CORP [CVX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Vice President and President

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

516

D

Â

Common Stock

10,579 ⁽¹⁾

I

by 401(k) plan

Common Stock

4,300

I

by Breber Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying

4. Conversion

5. Ownership

6. Nature of Indirect Beneficial

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	Date Exercisable	Expiration Date	Derivative Security (Instr. 4) Title	Amount or Number of Shares	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	Ownership (Instr. 5)
Non-Qualified Stock Option (Right to Buy)	Â (2)	03/23/2016	Common Stock	10,000	\$ 56.63	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (3)	03/28/2017	Common Stock	26,000	\$ 74.08	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (4)	03/26/2018	Common Stock	23,000	\$ 84.96	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (5)	03/25/2019	Common Stock	45,000	\$ 69.7	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (6)	01/27/2020	Common Stock	46,500	\$ 73.7	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (7)	01/26/2021	Common Stock	53,000	\$ 94.64	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (8)	01/25/2022	Common Stock	37,000	\$ 107.73	D	Â
Non-Qualified Stock Option (Right to Buy)	Â (9)	01/30/2023	Common Stock	37,000	\$ 116.45	D	Â
Phantom Stock	Â (10)	Â (10)	Common Stock	14,633	\$ (11)	D	Â
Phantom Stock Units	Â (12)	Â (12)	Common Stock	1,239	\$ (12)	I	Excess Benefit Plan
Phantom Stock Units	Â (13)	Â (13)	Common Stock	5,600	\$ (13)	D	Â
Phantom Stock Units	Â (14)	Â (14)	Common Stock	10,664	\$ (14)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Breber Pierre R 6001 BOLLINGER CANYON ROAD SAN RAMON, CA 94583	Â	Â	Â Vice President and President	Â

Signatures

Christopher A. Butner on behalf of Pierre R.
Breber 01/07/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) As of January 2, 2014, this number represents the reporting person's shares of Chevron Corporation common stock under the Chevron Employee Savings Investment Plan, a 401(k) plan.
- (2) Option granted 3/23/2006. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (3) Option granted 3/28/2007. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (4) Option granted 3/26/2008. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (5) Option granted 3/25/2009. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (6) Option granted 1/27/2010. One-third of the shares subject to the option vested on each of the first, second and third anniversaries of the date of grant.
- (7) Option granted 1/26/2011. One-third of the shares subject to the option vested on each of the first and second anniversaries of the date of grant. The balance of the shares vests on the third anniversary of the date of grant.
- (8) Option granted 1/25/2012. One-third of the shares subject to the option vested on the first anniversary of the date of grant. The balance of the shares vests each of the second and third anniversaries of the date of grant.
- (9) Option granted 1/30/2013. One-third of the shares subject to the option vest on each of the first, second and third anniversaries of the date of grant.
- (10) The shares of phantom stock issued under the Chevron Deferred Compensation Plan for Management Employees II become payable in common stock upon the reporting person's termination of service.
- (11) 1-for-1.
- (12) Each phantom stock unit is the economic equivalent of one share of Chevron Corporation common stock. The phantom stock units, which are issued under the Chevron ESIP-RP, are payable in cash upon the reporting person's retirement or other termination of service.
- (13) Each phantom stock unit is the economic equivalent of one share of Chevron Corporation common stock. The phantom stock units were issued under the Chevron LTIP and vest on January 26, 2014 and are payable in cash.
- (14) Each phantom stock unit is the economic equivalent of one share of Chevron Corporation common stock. The phantom stock units were issued under the Chevron LTIP and vest on December 6, 2015 and are payable in cash.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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