HAFFNER DAVID S

Form 4 March 05, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

Number: 3235-0287 Expires: January 31,

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Check this box if no longer subject to Section 16. Form 4 or Form 5

Form 4 or
Form 5

obligations
may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

	Address of Reportin R DAVID S	Symbo	1	and Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer			
(T)			PLATT INC [LEG]	(Check all applicable)				
(Last)	(First)	(Middle) 3. Date	of Earliest	Transaction				
NO 1 LEC	(Month 03/01	/Day/Year /2013)	_X_ Director _X_ Officer (give below) Chief I				
	(Street)	4. If Ar	nendment,	Date Original	6. Individual or Joint/Group Filing(Check			
CARTHA	Filed(M	Ionth/Day/Y	ear)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
					Person			
(City)	(State)	(Zip) Ta	ble I - Noi	n-Derivative Securities Ac	equired, Disposed of	, or Beneficia	ally Owned	
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transacti	on(A) or Disposed of (D)	Securities	Ownership	Indirect	
(Instr. 3) any		any	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial	
(Month/Da		(Month/Day/Year)	y/Year) (Instr. 8)		Owned Following	Direct (D)	Ownership	
					Reported	or Indirect	(Instr. 4)	

(Instr. 3)	(Month/Day/Year)	any (Month/Day/Year)	Code (Instr. 8)	` ′	(A)		Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(Instr. 4)	
Common Stock	03/01/2013		A	50,000 (1)	A	\$ 0	1,008,523.822	D	
Common Stock	03/01/2013		F	5,993	D	\$ 30.67	1,002,530.822	D	
Common Stock							3,536.5	I	By ConDav Enterprises LP, a family limited partnership
Common							22,256.336	I	Held In

Stock Trust Under

Issuer's Retirement

> Deriv Secu Bene Own Follo Repo Trans (Insti

Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)
				Code V	/ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Relationships

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
HAFFNER DAVID S				

NO 1 LEGGETT ROAD

X Chief Executive Officer CARTHAGE, MO 64836

Signatures

/s/ S. Scott Luton, 03/05/2013 by POA

**Signature of Reporting Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

Reporting Owners 2

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RSUs (settled solely in common stock on a one-to-one basis) granted in connection with the new employment agreement dated March 1, 2013. The RSUs vest in 25% increments, beginning on the date of the agreement, and on the first, second, and third anniversaries of the agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.