

TAPLIN DAVID F
Form 5/A
March 12, 2009

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362
Expires: January 31,
2005
Estimated average
burden hours per
response... 1.0

1. Name and Address of Reporting Person *
TAPLIN DAVID F

(Last) (First) (Middle)

NACCO INDUSTRIES,
INC., 5875 LANDERBROOK
DRIVE, STE. 300

(Street)

2. Issuer Name and Ticker or Trading
Symbol
NACCO INDUSTRIES INC [NC]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2008

4. If Amendment, Date Original
Filed(Month/Day/Year)
02/17/2009

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☒ Other (specify below)
Dir. and Member of a Group

6. Individual or Joint/Group Reporting
(check applicable line)

MAYFIELD
HEIGHTS, OH 44124

(City) (State) (Zip)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	11/28/2005	Â	J4 ⁽¹⁾	104,513 A \$ 0	104,513	I	Trust (MET) ⁽²⁾
Class A Common Stock	11/28/2005	Â	S4	4,000 D \$ 115.89	100,513	I	Trust (MET) ⁽²⁾
	11/29/2005	Â	S4	5,000 D \$ 115.4	95,513	I	

Class A Common Stock									Trust (MET) ⁽²⁾
Class A Common Stock	01/10/2006	Â	G5	529	D	\$ 0	94,984	I	Trust (MET) ⁽²⁾
Class A Common Stock	03/03/2006	Â	S4	10,000	D	\$ 143.79	84,984	I	Trust (MET) ⁽²⁾
Class A Common Stock	01/03/2007	Â	G5	616	D	\$ 0	84,368	I	Trust (MET) ⁽²⁾
Class A Common Stock	03/08/2007	Â	S4	1,000	D	\$ 136.98	83,368	I	Trust (MET) ⁽²⁾
Class A Common Stock	01/02/2008	Â	G	240	D	\$ 0	83,128	I	Trust (MET) ⁽²⁾
Class A Common Stock	Â	Â	Â	Â	Â	Â	17,459	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Pri Deriv Secur (Instr.
					(A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	\$ 0 ⁽³⁾	Â	Â	Â	Â Â	Â ⁽³⁾ Â ⁽³⁾	Class A Common Stock	15,883 Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TAPLIN DAVID F NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 MAYFIELD HEIGHTS, OH 44124	☒	☐	☐	Dir. and Member of a Group

Signatures

/s/ Charles A. Bittenbender,
attorney-in-fact

03/12/2009

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) On November 28, 2005, the reporting person became the co-trustee of a trust for the benefit of his step-mother. The reporting person also holds a remainder interest in the trust. The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein. Each of the Form 4s and Form 5's filed by the Reporting Person since November 28, 2005, did not reflect the number of Class A shares in this Trust which are reported on this Form 5.
- (2) Reporting Person serves as co-trustee with his step-sister, of a revocable trust held for the benefit of Reporting Person's step-mother. Reporting Person disclaims beneficial ownership of all such shares
- (3) N/A

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.