

CARROLL DAVID M
Form 4
January 29, 2007

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CARROLL DAVID M

(Last) (First) (Middle)

WACHOVIA CORPORATION, ONE WACHOVIA CENTER

(Street)

CHARLOTTE, NC 28288-0009

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
WACHOVIA CORP NEW [WB]

3. Date of Earliest Transaction (Month/Day/Year)
01/25/2007

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
SEVP Sp Fin and Corp Supp Serv

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock					428,3052	I	By 401(k) plan
Common Stock	01/25/2007		M		623	A	\$ 40.13 125,978.1196 D
Common Stock	01/25/2007		S		623	D	\$ 56.4 125,355.1196 D
Common Stock	01/25/2007		M		1,869	A	\$ 40.13 127,224.1196 D
	01/25/2007		S		1,332	D	\$ 56.39 125,892.1196 D

Edgar Filing: CARROLL DAVID M - Form 4

Common Stock							
Common Stock	01/25/2007	M	17,031	A	\$ 40.13	142,923.1196	D
Common Stock	01/25/2007	S	13,797	D	\$ 56.3994	129,126.1196	D
Common Stock	01/25/2007	M	5,677	A	\$ 40.13	134,803.1196	D
Common Stock	01/25/2007	S	5,677	D	\$ 56.4	129,126.1196	D
Common Stock	01/25/2007	M	60,000	A	\$ 27.5625	189,126.1196	D
Common Stock	01/25/2007	S	60,000	D	\$ 56.0273	129,126.1196	D
Common Stock	01/25/2007	M	70,000	A	\$ 27.5625	199,126.1196	D
Common Stock	01/25/2007	S	48,459	D	\$ 56.1564	150,667.1196	D
Common Stock	01/25/2007	M ⁽⁵⁾	110,000	A	\$ 27.5625	260,667.1196	D
Common Stock	01/25/2007	F	76,644	D	\$ 56.26	184,023.1196 ⁽⁶⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number of Shares
ESOP ⁽¹⁾	\$ 40.13	01/25/2007		M	623	04/15/1998 04/15/2007	Common Stock 62
ESOP ⁽¹⁾	\$ 40.13	01/25/2007		M	1,869	04/15/1998 04/15/2007	Common Stock 1,869

Edgar Filing: CARROLL DAVID M - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.