Edgar Filing: BUTLER JOHN C JR - Form 4

BUTLER JO Form 4	OHN C JR												
February 14													
FORM	A 4 UNITED	STATES S	ECUR	SITIES A	AND EX	СНА	NGE	COMMISSI	ON	OMB	B APPRO		
Check t					, D.C. 20					Numbe	r:	35-0287	
if no lor subject Section Form 4 Form 5	nger to 16. or Filed pur	DOX									Expires: January 31, 2005 Estimated average burden hours per response 0.5		
obligati may con <i>See</i> Inst 1(b).	ntinue. Section 17(ruction	· · · · · · · · · · · · · · · · · · ·		•	ding Cor t Compar	· ·	•	of 1935 or Sec 940	tion				
(Print or Type	Responses)												
			2. Issuer Name and Ticker or Trading Symbol NACCO INDUSTRIES INC [NC]					5. Relationship of Reporting Person(s) to Issuer					
(Last)	(First) (I						nc]	(Check all applicable)					
NACCO IN LANDERI 300	(N C., 5875 0	3. Date of Earliest Transaction (Month/Day/Year) 02/13/2013					Director 10% Owner X Officer (give titleX Other (specify below) below) VP-Corp Dev and Treasurer / Member of a Group						
				onth/Day/Year) Applicable Line) _X_ Form filed by					e) by Oi	Dint/Group Filing(Check One Reporting Person More than One Reporting			
MAYFIEL	D HEIGHTS, OH	44124						Form filed Person	by Mo	ore than Or	ne Reporting		
(City)	(State)	(Zip)	Tabl	e I - Non-l	Derivative	Secur	ities A	cquired, Dispose	d of,	or Benef	icially Ow	ned	
1.Title of Security (Instr. 3)		ion Date 2A. Deemed y/Year) Execution Date, if any (Month/Day/Year)		Code	4. Securit on(A) or Dis (D) (Instr. 3, 4)	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	ecuritiesOwnershipIndirecteneficiallyForm:BenefiwnedDirect (D)Ownerollowingor Indirect(Instr.eported(I)ransaction(s)(Instr. 4)		7. Nature Indirect Beneficia Ownershi (Instr. 4)	1	
Class A Common	02/13/2013			A <u>(1)</u>	11,492	А	\$0	28,754	Ι		By Trus	st <u>(2)</u>	
Stock Class A Common Stock								7,650	I		By RAII/C	hild 1	
Class A Common Stock								2,252	Ι		By Trust-C	hild 1	
								7,806	Ι				

Edgar Filing: BUTLER JOHN C JR - Form 4

Class A Common Stock			By RAII/Child 2
Class A Common Stock	2,097	I	By Trust-Child 2 (4)
Class A Common Stock	12,257	I	By RAII/Spouse (5)
Class A Common Stock	11,008	I	to spouse by RAIV (A)
Class A Common Stock	45,641	I	By Spouse/Trust (6)
Class A Common Stock	2,800	I	By IRA (7)
Class A Common Stock	7,272	I	By RAII (8)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	ctior 3)	5. Number of ionDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		vative Expiration Date irities (Month/Day/Year) uired (A) isposed of r. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. F Der Sec (Ins
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(9)</u>	02/08/2013		G	V	18,966		<u>(9)</u>	<u>(9)</u>	Class A Common Stock	18,966	

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
BUTLER JOHN C JR NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 MAYFIELD HEIGHTS, OH 44124			VP-Corp Dev and Treasurer	Member of a Group				
Signatures								
/s/ John D. Neumann, attorney-in-fact	02/13/201	3						
**Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of Class A Common Stock awarded to the Reporting Person under the Company's Executive Long-Term Incentive Compensation Plan.
- (2) Reporting Person serves as Trustee with National City Bank of the J.C. Butler, Jr. Revocable Trust.
- (3) Represents the Reporting Person's child's proportionate limited partnership interests in shares held by Rankin Associates II, L.P... Reporting Person disclaims beneficial ownership of all such shares.
- (4) Held by Trust, John C. Butler, Jr., Trustee, for the benefit of Reporting Person's minor child. Reporting Person disclaims beneficial ownership of all such shares.
- (5) $\begin{array}{c} \text{Represents the Reporting Person's spouse's proportionate limited partnership interests in shares held by Rankin Associates II, \\ L.P.-----$
- (6) Held by Trust for the benefit of Reporting Person's Spouse. Reporting Person disclaims beneficial ownership of all such shares.
- (7) Held in an Individual Retirement Account for the benefit of the Reporting Person.
- (8) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.-----
- (9) N/A
- (10) Represents the Reporting Person's spouse's proportionate limited partnership interests in shares held by Rankin Associates I, L.P.-----

Remarks:

"Remark on Insider relationship to Issuer" As a member of a "group" deemed to own more than 10% of an equity security as a Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.