Edgar Filing: VICOR CORP - Form 4

VICOR CO Form 4 November (FORN	06, 2014	ES SECURITIES	AND EX	CHAN	GE CO	OMMISSION	OMB AF	PROVAL	
Check t		Washington			02.00		Number:	3235-0287	
if no lor	nger STATEMENT	OF CHANGES IN	I PENEI	TCIAL	OWN		Expires:	January 31, 2005	
subject Section Form 4 Form 5	16. or Filed pursuant	o Section 16(a) of t		Estimated a burden hour response	•				
obligatio may cor <i>See</i> Inst 1(b).	ntinue. Section 17(a) of th	e Public Utility Ho h) of the Investmer	•	- ·			I		
(Print or Type	Responses)								
	Address of Reporting Person R BARRY	2. Issuer Name ar Symbol VICOR CORP		r Trading		5. Relationship of I Issuer	Reporting Pers	on(s) to	
(Last)	(First) (Middle)					(Check	all applicable)	
	TAGE ROAD	(Month/Day/Year) 11/05/2014	-				X Director 10% Owner X Officer (give title Other (specify below) below) President, Brick Business Unit		
	(Street)	4. If Amendment, I Filed(Month/Day/Ye	-	al	e	5. Individual or Joi	int/Group Filin	g(Check	
		Thed (Month/Day/Te	ar)			Applicable Line) _X_ Form filed by Or Form filed by M			
ANDOVE	R, MA 01810	Thed(Month/Day) Te	ar)		-	11 /			
ANDOVEI (City)	R, MA 01810 (State) (Zip)			e Securitie	- - F	_X_ Form filed by Or Form filed by Mo	ore than One Rep	porting	
	(State) (Zip) 2. Transaction Date 2A. Do (Month/Day/Year) Execu any	Table I - Non-emed3.ion Date, ifTransactiCode/Day/Year)(Instr. 8)	Derivativo 4. Securi omr Dispo (Instr. 3,	ties Acquin sed of (D) 4 and 5) (A) or	- F es Acqui ired (A)	X_Form filed by Or Form filed by Mo Person	ore than One Rep	porting	
(City) 1.Title of Security	(State) (Zip) 2. Transaction Date 2A. Do (Month/Day/Year) Execu any	Table I - Non-emed3.ion Date, ifTransactiCode/Day/Year)(Instr. 8)	Derivative 4. Securi omr Dispo	ties Acquin sed of (D) 4 and 5) (A) or (D)	- F es Acqui ired (A)	X_ Form filed by Oi Form filed by Mo Person ired, Disposed of, 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	ore than One Rep or Beneficiall 6. Ownership Form: Direct (D) or Indirect (I)	porting y Owned 7. Nature of Indirect Beneficial Ownership	
(City) 1.Title of Security (Instr. 3) Common	(State) (Zip) 2. Transaction Date 2A. Do (Month/Day/Year) Execu any (Mont	Table I - Non-emed3.ion Date, ifTransactiCodeCode/Day/Year)(Instr. 8)CodeV	Derivative 4. Securi ord Dispo (Instr. 3, Amount	(A) (A) (D) (A) (C) (C) (C) (C) (C) (C) (C) (C) (C) (C	F F F F F F T C F T C F T C F F C F F F F	 X_ Form filed by Oi Form filed by Mo Person ired, Disposed of, 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) 	ore than One Rep or Beneficiall 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	porting y Owned 7. Nature of Indirect Beneficial Ownership	
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(City) 1.Title of Security (Instr. 3) Common Stock Common Stock Common	(State)(Zip)2. Transaction Date (Month/Day/Year)2A. D. Execu any (Month)11/05/201411/05/2014	Table I - Non-emed3.ion Date, ifTransacti Code/Day/Year)(Instr. 8)CodeVMM	Derivative 4. Securi onor Dispo (Instr. 3, Amount 993 2,591	ties Acquir sed of (D) 4 and 5) (A) or (D) A \$ 6 A \$ 6 A \$ 6 D \$	Firee 6.29	X_ Form filed by Oi Form filed by Mo Person ired, Disposed of, 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) 3,250 5,841	ore than One Rep or Beneficiall 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) D D	porting y Owned 7. Nature of Indirect Beneficial Ownership	

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Stock 15.1519	Common Stock	11/05/2014	S	640	D	\$ 13.1319	2,257	Ι
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number prof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. De Se (II
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Non Qualified Stock Option	\$ 6.29	11/05/2014		М	993	(1)	06/17/2023	Common Stock	993	
Non Qualified Stock Option	\$ 6.29	11/05/2014		М	2,591	(1)	06/17/2023	Common Stock	2,591	
Non Qualified Stock Option	\$ 6.29	11/05/2014		М	640	<u>(1)</u>	06/17/2023	Common Stock	640	

Reporting Owners

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
KELLEHER BARRY 25 FRONTAGE ROAD ANDOVER, MA 01810	Х		President, Brick Business Unit			

Signatures

/s/Richard J. Nagel Jr. Attorney in Fact for Barry Kelleher

**Signature of Reporting Person

11/06/2014

Date

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v). *

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Granted 6/17/2013 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan, vesting over a five year period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.