Bhatt Padmanabh P. Form 4 May 16, 2018

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Middle)

1(b).

(Last)

Common

05/15/2018

(Print or Type Responses)

1. Name and Address of Reporting Person \* Bhatt Padmanabh P.

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

**SUPERNUS** 

PHARMACEUTICALS INC

Director

10% Owner

(Check all applicable)

Sr. VP of IP, CSO

[SUPN]

3. Date of Earliest Transaction below)

Other (specify X\_ Officer (give title \_

(Month/Day/Year)

05/15/2018

C/O SUPERNUS

PHARMACEUTICALS, INC., 1550

(Street)

(First)

EAST GUDE DRIVE

4. If Amendment, Date Original

Applicable Line)

7,500

D

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

ROCKVILLE, MD 20850

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code V	Amount		Price	(Instr. 3 and 4)			
Common Stock	05/15/2018		M <u>(1)</u>	368	A	\$ 9.24	7,868	D		
Common Stock	05/15/2018		S <u>(1)</u>	368	D	\$ 57.9256 (2)	7,500	D		
Common Stock	05/15/2018		M <u>(1)</u>	7,500	A	\$ 9.13	15,000	D		

7,500

D

\$

 $S^{(1)}$ 

Stock 57.9256 (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)			6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 9.24	05/15/2018		M <u>(1)</u>	368	(3)	01/21/2024	Common Stock	368
Employee Stock Option (Right to Buy)	\$ 9.13	05/15/2018		M <u>(1)</u>	7,500	<u>(4)</u>	03/03/2025	Common Stock	7,500

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Bhatt Padmanabh P.

C/O SUPERNUS PHARMACEUTICALS, INC.

1550 EAST GUDE DRIVE ROCKVILLE, MD 20850 Sr. VP of IP, CSO

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#### **Signatures**

/s/ Gregory S. Patrick, as attorney-in-fact

05/16/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction made pursuant to a Rule 10b5-1 trading plan adopted December 14, 2017.

shares sold at each separate price within the range set forth in this footnote (2) to this Form 4.

- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$57.9000 to \$57.9500, inclusive. The reporting person undertakes to provide to Supernus Pharmaceuticals, Inc. ("Supernus"), any security holder of Supernus, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of
- (3) The option became exercisable in four equal installments beginning on January 21, 2015, which was the first anniversary of the date on which the option was granted.
- (4) The option became exercisable in four equal installments beginning on March 3, 2016, which was the first anniversary of the date on which the option was granted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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