Edgar Filing: Day Wesley - Form 4

Day Wesley

Form 4 January 29, 20)13										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								-	OMB APPROVAL		
	UNITEI) STATES		ITIES Al hington, 1			NGE (COMMISSION	OMB Number:	3235-0287	
Check this if no longe subject to Section 16 Form 4 or	state STATE	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Estimated average burden hours per	
Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).											
(Print or Type Re	esponses)										
1. Name and Address of Reporting Person <u>*</u> Day Wesley			2. Issuer Name and Ticker or Trading Symbol VIVUS INC [VVUS]				g	5. Relationship of Reporting Person(s) to Issuer			
								(Check all applicable)			
(Last) (First) (Middle) 1172 CASTRO STREET (Street)			3. Date of Earliest Transaction (Month/Day/Year) 01/25/2013					Director 10% Owner X Officer (give title Other (specify below) below) VP, Clinical Research			
			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
MOUNTAIN	I VIEW, CA 9	4040	Filed(Mont	h/Day/Year)				Applicable Line) _X_ Form filed by Form filed by N Person			
(City)	(State)	(Zip)	T -11-	I N. D		•	• • •		6 D		
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	Pate 2A. Dee ar) Execution any		3. Transactic Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, -	ties (A) o of (D	r)	quired, Disposed o 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock								1,052 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Incentive Stock Option (right to buy)	\$ 12.39	01/25/2013		А	8,100	(2)	01/25/2023	Common Stock	8,1
Non-Qualified Stock Option (right to buy)	\$ 12.39	01/25/2013		А	41,900	<u>(2)</u>	01/25/2023	Common Stock	41,9

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Day Wesley 1172 CASTRO STREET MOUNTAIN VIEW, CA 94040			VP, Clinical Research				
Signatures							
/s/ Julie Hollenback, by power of attorney	01/29/2013						
**Signature of Reporting Person		Date					

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 1,052 shares acquired under the VIVUS, Inc. Employee Stock Purchase Plan on November 14, 2012.
- (2) Twenty-five percent (25%) of the total number of shares become exercisable on 1/25/2014 and one forty-eighth (1/48th) of the total number of shares become exercisable on the 25th of each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.