**VIVUS INC** Form 4 August 15, 2012

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* LOGAN MARK B

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

10% Owner

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

(Last) (First) (Middle)

(Street)

VIVUS INC [VVUS]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

08/14/2012

\_X\_\_ Director Officer (give title Other (specify below)

1172 CASTRO STREET

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**MOUNTAIN VIEW, CA 94040** 

(City)	(State)	(Zip) Tab	ole I - Non-	<b>Derivative</b>	Secu	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	omr Dispos (Instr. 3, 4	ed of 4 and 3 (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/14/2012		Code V M	Amount 11,816	(D)	Price \$ 4.25	64,816	D	
Common Stock	08/14/2012		S	11,816	D	\$ 23.1285 (1)	53,000	D	
Common Stock	08/14/2012		M	8,000	A	\$ 5.04	61,000	D	
Common Stock	08/14/2012		S	8,000	D	\$ 23.1285 (1)	53,000	D	
Common	08/14/2012		M	22,000	A	\$ 6.05	75,000	D	

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Stock

Common Stock	08/14/2012	S	22,000	D	\$ 23.1285 (1)	53,000	D
Common Stock	08/14/2012	M	8,000	A	\$ 7.15	61,000	D
Common Stock	08/14/2012	S	8,000	D	\$ 23.1285 (1)	53,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Non-Qualified Stock Option (right to buy)	\$ 4.25	08/14/2012		M	11,816	(2)	01/29/2017	Common Stock	11,8
Non-Qualified Stock Option (right to buy)	\$ 5.04	08/14/2012		M	8,000	<u>(2)</u>	06/11/2017	Common Stock	8,0
Non-Qualified Stock Option (right to buy)	\$ 6.05	08/14/2012		M	22,000	(2)	01/25/2018	Common Stock	22,0
Non-Qualified Stock Option (right to buy)	\$ 7.15	08/14/2012		M	8,000	(2)	06/13/2018	Common Stock	8,0

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

LOGAN MARK B 1172 CASTRO STREET X MOUNTAIN VIEW, CA 94040

### **Signatures**

/s/ Julie Hollenback, by power of attorney

08/15/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The sale price reported in Column 4 of Table 1 represents the weighted average sale price of the shares sold ranging from \$23.10 to
- (1) \$23.22 per share. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price.
- (2) The option is fully vested and immediately exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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