Day Wesley Form 4 January 31, 2012

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Day Wesley

(Last)

(City)

1. Title of

Security

(Instr. 3)

(Middle) (First)

(Zip)

Execution Date, if

(Month/Day/Year)

2. Transaction Date 2A. Deemed

1172 CASTRO STREET

(Street)

MOUNTAIN VIEW, CA 94040

(State)

(Month/Day/Year)

2. Issuer Name and Ticker or Trading

Symbol VIVUS INC (VVUS)

3. Date of Earliest Transaction

(Month/Day/Year) 01/27/2012

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. 4. Securities

TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

Beneficially Owned Following Reported

Issuer

below)

Person

5. Amount of

Securities

Director

Applicable Line)

X\_ Officer (give title

(A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. Number of

Derivative Security

Conversion or Exercise

(Month/Day/Year) Execution Date, if

any

4. **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amour **Underlying Securit** (Instr. 3 and 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

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OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

6. Individual or Joint/Group Filing(Check

6. Ownership

Form: Direct

(D) or Indirect Beneficial

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(T)

(Instr. 4)

(Check all applicable)

below) VP, Clinical Development

10% Owner

Other (specify

7. Nature of

Ownership

(Instr. 4)

Indirect

Estimated average

burden hours per

#### Edgar Filing: Day Wesley - Form 4

(Instr. 3)		Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	str. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
					Code V	V (A)	(D) Date Exercisab	Expiration le Date	Title	Amo or Num of Sh
	Incentive Stock Option (right to buy)	\$ 12.04	01/27/2012		A	8,591	<u>(1)</u>	01/27/2022	Common Stock	8,5
	Non-Qualified Stock Option (right to buy)	\$ 12.04	01/27/2012		A	41,409	<u>(1)</u>	01/27/2022	Common Stock	41,4

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

VP, Clinical

Development

Day Wesley 1172 CASTRO STREET MOUNTAIN VIEW, CA 94040

### **Signatures**

/s/ Julie Hollenback, by power of attorney 01/31/2012

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Twenty-five percent (25%) of the total number of shares become exercisable on 01/27/2013 and one forty-eighth (1/48th) of the total number of shares become exercisable on the 27th of each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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