

TIVO INC
Form 3
November 02, 2005

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â ROBERTS MARK ANDREW			(Month/Day/Year)	TIVO INC [Tivo]	
(Last)	(First)	(Middle)			
TIVO INC,Â 2160 GOLD STREET				4. Relationship of Reporting Person(s) to Issuer	
(Street)				(Check all applicable)	
ALVISO,Â CAÂ 95002				5. If Amendment, Date Original Filed(Month/Day/Year)	
(City)	(State)	(Zip)			
				6. Individual or Joint/Group Filing(Check Applicable Line)	
				<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) SVP of Consumer Prods & Ops	
				<input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	20,525	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Options (right to buy)	Â <u>(1)</u>	07/14/2009	Common Stock	45,000	\$ 8.5	D	Â
Stock Option (right to buy)	Â <u>(1)</u>	05/23/2010	Common Stock	40,000	\$ 20	D	Â
Stock Option (right to buy)	Â <u>(2)</u>	02/06/2011	Common Stock	20,834 <u>(9)</u>	\$ 6.3125	D	Â
Stock Option (right to buy)	Â <u>(3)</u>	05/07/2012	Common Stock	10,418 <u>(10)</u>	\$ 3.9	D	Â
Stock Option (right to buy)	Â <u>(4)</u>	01/23/2013	Common Stock	20,835 <u>(11)</u>	\$ 5.46	D	Â
Stock Option (right to buy)	Â <u>(5)</u>	04/24/2013	Common Stock	20,626 <u>(12)</u>	\$ 5.43	D	Â
Stock Option (right to buy)	Â <u>(6)</u>	05/03/2014	Common Stock	70,000 <u>(13)</u>	\$ 7.18	D	Â
Stock Option (right to buy)	Â <u>(7)</u>	04/24/2013	Common Stock	15,000 <u>(14)</u>	\$ 5.43	D	Â
Stock Option (right to buy)	Â <u>(8)</u>	03/02/2015	Common Stock	68,751 <u>(15)</u>	\$ 4.14	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROBERTS MARK ANDREW TIVO INC 2160 GOLD STREET ALVISO, CA 95002	Â	Â	Â SVP of Consumer Prods & Ops	Â

Signatures

Laurence Denny, Attorney in Fact for: Mark Andrew Roberts

11/02/2005

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As of 10/27/2005 these shares are fully vested.
- (2) These shares were granted on 2/6/2001 and vest 1/60 per month for 60 months.
- (3) These shares were granted on 5/7/2002 and vest 1/48 per month over 48 months
- (4) These shares were granted 1/23/2003 and vest 1/48 per month over 48 months.

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- (5) These shares were granted on 4/24/2003 and vest 1/48 per month over 48 months.
- (6) These shares were granted on 5/3/2004 and vest 1/48 per month over 48 months.
- (7) These shares we granted on 4/24/2003 and vest based on company performance goals.
- (8) These shares were granted on 3/2/2005 and vest 1/48 per month over 48 months.
- (9) These shares consist of 17,499 shares that are vested and exercisable and 3,335 shares that have not yet been vested.
- (10) These shares consist of 3,126 shares that are vested and exercisable and 7,292 shares that have not yet been vested.
- (11) These shares consist of 5,209 shares that are vested and exercisable and 15,626 shares that have not yet been vested.
- (12) These shares consist of 2,813 shares that are vested and exercisable and 17,813 shares that have not yet been vested.
- (13) These shares consist of 24,791 shares that are vested and exercisable and 45,209 shares that have not yet been vested.
- (14) To date none of these shares are vested.
- (15) These shares consist of 4,687 shares that are vested and exercisable and 64,064 shares that have not yet been vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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