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Fortress Investment Group LLC
Form 13F-HR
November 14, 2007

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 13F

Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: September 30, 2007

Check here if Amendment []; Amendment Number:
This Amendment (Check only one.): [] is a restatement.
[] adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Fortress Investment Group LLC
Address: 1345 Avenue of the Americas, 46th Flr.
New York, NY 10105

13F File Number: 28-12421

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Randal A. Nardone
Title: Chief Operating Officer
Phone: 212-798-6100

Signature, Place, and Date of Signing:

/s/ Randal A. Nardone New York, NY November 14, 2007

Report Type (Check only one.):

[X] 13F HOLDINGS REPORT.
[] 13F NOTICE.
[] 13F COMBINATION REPORT.

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 0
Form13F Information Table Entry Total: 370
Form13F Information Table Value Total: \$6,127,689 (thousands)
List of Other Included Managers:

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Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional managers with respect to which this report is filed, other than the manager filing this report.

| No. | 13F File Number | Name |
|-----|-----------------|---|
| 1 | 28-12421 | Fortress Investment Group LLC |
| 2 | 28-12417 | FIG Corp |
| 3 | 28-12418 | Fortress Operating Entity I LP |
| 4 | 28-10806 | FIG LLC |
| 5 | 28-10807 | Drawbridge Global Macro Advisors LLC |
| 6 | 28-11237 | Drawbridge Special Opportunities Advisors LLC |
| 7 | 28-11538 | Fortress Fund MM LLC |
| 8 | 28-11537 | Fortress Fund MM II LLC |
| 9 | 28-10162 | FIG Advisors LLC |
| 10 | 28-12420 | RIC Coinvestment Fund GP LLC |
| 11 | 28-12416 | Fortress Investment Fund GP Holdings LLC |
| 12 | 28-12512 | Fortress Fund III GP LLC |
| 13 | 28-12439 | Fortress Operating Entity II LP |

FORM 13F INFORMATION TABLE

| NAME OF ISSUER | TITLE OF CLASS | CUSIP | VALUE (x\$1000) | SHARES/ PRN AMT | SH/ PRN | PUT/ CALL | INVSTMT DSCRETN | OTH MANA |
|------------------------------|-----------------|-----------|--------------------|--------------------|------------|--------------|--------------------|-------------|
| 3M CO | COM | 88579Y101 | 664 | 7100 | SH | | DEFINED | 1 2 |
| ABERCROMBIE & FITCH CO | CL A | 002896207 | 227 | 2810 | SH | | DEFINED | 1 2 |
| ABX AIR INC | COM | 00080S101 | 1842 | 260219 | SH | | DEFINED | 1 2 |
| ACA CAPITAL HOLDINGS INC | COM | 000833103 | 5866 | 963236 | SH | | DEFINED | 1 2 |
| ADOBE SYS INC | COM | 00724F101 | 5065 | 116000 | SH | | DEFINED | 1 2 |
| ADVANCED ENERGY INDS | COM | 007973100 | 158 | 10490 | SH | | DEFINED | 1 2 |
| ADVANCED TECHNOLOGY ACQU COR | UNIT 06/11/2011 | 007556202 | 14609 | 1856250 | SH | | DEFINED | 1 2 |
| AIR PRODS & CHEMS INC | COM | 009158106 | 499 | 5100 | SH | | DEFINED | 1 2 |
| AIRCASTLE LTD | COM | G0129K104 | 167100 | 5000000 | SH | | DEFINED | 1 2 |
| AIRCASTLE LTD | COM | G0129K104 | 1002600 | 30000000 | SH | | DEFINED | 1 2 |
| AIRCASTLE LTD | COM | G0129K104 | 183599 | 5493720 | SH | | DEFINED | 1 2 |
| AKAMAI TECHNOLOGIES INC | COM | 00971T101 | 13790 | 480000 | SH | | DEFINED | 1 2 |
| ALCAN INC | COM | 013716105 | 530 | 5300 | SH | | DEFINED | 1 2 |
| ALCOA INC | COM | 013817101 | 4303 | 110000 | SH | | DEFINED | 1 2 |
| ALCOA INC | COM | 013817101 | 880 | 22500 | SH | | DEFINED | 1 2 |
| ALDABRA 2 ACQUISITION CORP | COM | 01408A103 | 7352 | 800000 | SH | | DEFINED | 1 2 |
| ALDABRA 2 ACQUISITION CORP | *W EXP 06/18/20 | 01408A111 | 431 | 287400 | SH | | DEFINED | 1 2 |
| ALLSTATE CORP | COM | 020002101 | 520 | 9100 | SH | | DEFINED | 1 2 |
| ALLSTATE CORP | COM | 020002101 | 5719 | 100000 | SH | CALL | DEFINED | 1 2 |
| ALLTEL CORP | COM | 020039103 | 65569 | 941000 | SH | | DEFINED | 1 2 |
| ALLTEL CORP | COM | 020039103 | 9267 | 133000 | SH | | DEFINED | 1 2 |
| ALTRIA GROUP INC | COM | 02209S103 | 4314 | 62045 | SH | | DEFINED | 1 2 |
| AMERICAN AXLE & MFG HLDGS IN | COM | 024061103 | 15150 | 600000 | SH | CALL | DEFINED | 1 2 |
| AMERICAN CAPITAL STRATEGIES | COM | 024937104 | 6410 | 150000 | SH | | DEFINED | 1 2 |
| AMERICAN EAGLE OUTFITTERS NE | COM | 02553E106 | 500 | 19000 | SH | | DEFINED | 1 2 |
| AMERICAN EXPRESS CO | COM | 025816109 | 5155 | 86820 | SH | | DEFINED | 1 2 |
| AMERICAN INTL GROUP INC | COM | 026874107 | 1008 | 14900 | SH | | DEFINED | 1 2 |
| AMERICAN SCIENCE & ENGR INC | COM | 029429107 | 263 | 4200 | SH | | DEFINED | 1 2 |
| AMKOR TECHNOLOGY INC | COM | 031652100 | 115 | 10000 | SH | | DEFINED | 1 2 |
| AMR CORP | COM | 001765106 | 10031 | 450000 | SH | CALL | DEFINED | 1 2 |
| ANADARKO PETE CORP | COM | 032511107 | 710 | 13210 | SH | | DEFINED | 1 2 |
| ANDERSONS INC | COM | 034164103 | 720 | 15000 | SH | | DEFINED | 1 2 |
| ANHEUSER BUSCH COS INC | COM | 035229103 | 660 | 13200 | SH | | DEFINED | 1 2 |
| ANNALY CAP MGMT INC | COM | 035710409 | 1043 | 65457 | SH | | DEFINED | 1 2 |

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|------------------------------|-----------------|-----------|---------|----------|----|---------|---------|---|---|
| APPLE INC | COM | 037833100 | 3608 | 23500 | SH | DEFINED | 1 | 2 | |
| ARCHER DANIELS MIDLAND CO | COM | 039483102 | 523 | 15800 | SH | DEFINED | 1 | 2 | |
| ARCHSTONE SMITH TR | COM | 039583109 | 27364 | 455000 | SH | DEFINED | 1 | 2 | |
| ARCHSTONE SMITH TR | COM | 039583109 | 2345 | 39000 | SH | DEFINED | 1 | 2 | |
| ART TECHNOLOGY GROUP INC | COM | 04289L107 | 96 | 31820 | SH | DEFINED | 1 | 2 | |
| ASSOCIATED BANC CORP | COM | 045487105 | 755 | 25493 | SH | DEFINED | 1 | 2 | |
| AT&T INC | COM | 00206R102 | 660 | 15600 | SH | DEFINED | 1 | 2 | |
| AUTODESK INC | COM | 052769106 | 1119 | 22400 | SH | DEFINED | 1 | 2 | |
| AVIS BUDGET GROUP | COM | 053774105 | 6984 | 305100 | SH | DEFINED | 1 | 2 | |
| BALLY TECHNOLOGIES INC | COM | 05874B107 | 17826 | 503120 | SH | DEFINED | 1 | 2 | |
| BANCO BRADESCO S A | SP ADR PFD NEW | 059460303 | 3231 | 110000 | SH | DEFINED | 1 | 2 | |
| BANCO ITAU HLDG FINANCIERA S | SP ADR 500 PFD | 059602201 | 3290 | 65000 | SH | DEFINED | 1 | 2 | |
| BANK OF AMERICA CORPORATION | COM | 060505104 | 1367 | 27194 | SH | DEFINED | 1 | 2 | |
| BANK OF NEW YORK MELLON CORP | COM | 064058100 | 4158 | 94200 | SH | DEFINED | 1 | 2 | |
| BAXTER INTL INC | COM | 071813109 | 658 | 11700 | SH | DEFINED | 1 | 2 | |
| BAYER A G | SPONSORED ADR | 072730302 | 253 | 3190 | SH | DEFINED | 1 | 2 | |
| BB&T CORP | COM | 054937107 | 1102 | 27291 | SH | DEFINED | 1 | 2 | |
| BCE INC | COM NEW | 05534B760 | 375 | 9375 | SH | DEFINED | 1 | 2 | |
| BCE INC | COM NEW | 05534B760 | 1502 | 37500 | SH | DEFINED | 1 | 2 | |
| BEA SYS INC | COM | 073325102 | 16515 | 1190700 | SH | DEFINED | 1 | 2 | |
| BEAR STEARNS COS INC | COM | 073902108 | 602 | 4900 | SH | DEFINED | 1 | 2 | |
| BROOKDALE SR LIVING INC | COM | 112463104 | 4976 | 125000 | SH | DEFINED | 1 | 2 | |
| BROOKDALE SR LIVING INC | COM | 112463104 | 1322807 | 33228000 | SH | DEFINED | 1 | 2 | |
| BROOKDALE SR LIVING INC | COM | 112463104 | 4977 | 125000 | SH | DEFINED | 1 | 2 | |
| BROOKDALE SR LIVING INC | COM | 112463104 | 700691 | 17600867 | SH | DEFINED | 1 | 2 | |
| BROOKDALE SR LIVING INC | COM | 112463104 | 395274 | 9929000 | SH | DEFINED | 1 | 2 | |
| BUNGE LIMITED | COM | G16962105 | 741 | 6900 | SH | DEFINED | 1 | 2 | |
| CAMECO CORP | COM | 13321L108 | 11560 | 250000 | SH | CALL | DEFINED | 1 | 2 |
| CAMECO CORP | COM | 13321L108 | 938 | 20274 | SH | DEFINED | 1 | 2 | |
| CANO PETE INC | COM | 137801106 | 519 | 70000 | SH | DEFINED | 1 | 2 | |
| CAPITAL ONE FINL CORP | COM | 14040H105 | 11293 | 170000 | SH | PUT | DEFINED | 1 | 2 |
| CAPITAL ONE FINL CORP | COM | 14040H105 | 6643 | 100000 | SH | DEFINED | 1 | 2 | |
| CAPSTEAD MTG CORP | COM NO PAR | 14067E506 | 2570 | 250000 | SH | DEFINED | 1 | 2 | |
| CASH SYSTEMS INC | COM | 14756B102 | 179 | 34057 | SH | DEFINED | 1 | 2 | |
| CATERPILLAR INC DEL | COM | 149123101 | 6238 | 79540 | SH | DEFINED | 1 | 2 | |
| CBIZ INC | COM | 124805102 | 107 | 13410 | SH | DEFINED | 1 | 2 | |
| CELESTICA INC | SUB VTG SHS | 15101Q108 | 3987 | 652525 | SH | DEFINED | 1 | 2 | |
| CENTENNIAL COMMUNCTNS CORP N | CL A NEW | 15133V208 | 116 | 11490 | SH | DEFINED | 1 | 2 | |
| CENTURYTEL INC | COM | 156700106 | 214 | 4640 | SH | DEFINED | 1 | 2 | |
| CEPHEID | COM | 15670R107 | 376 | 16500 | SH | DEFINED | 1 | 2 | |
| CERIDIAN CORP NEW | COM | 156779100 | 8338 | 240000 | SH | DEFINED | 1 | 2 | |
| CERIDIAN CORP NEW | COM | 156779100 | 1390 | 40000 | SH | DEFINED | 1 | 2 | |
| CHESAPEAKE ENERGY CORP | COM | 165167107 | 820 | 23250 | SH | DEFINED | 1 | 2 | |
| CHEVRON CORP NEW | COM | 166764100 | 674 | 7200 | SH | DEFINED | 1 | 2 | |
| CINCINNATI BELL INC NEW | COM | 171871106 | 61 | 12320 | SH | DEFINED | 1 | 2 | |
| CIT GROUP INC | COM | 125581108 | 3631 | 90327 | SH | DEFINED | 1 | 2 | |
| CITIGROUP INC | COM | 172967101 | 1051 | 22530 | SH | DEFINED | 1 | 2 | |
| CITIZENS REPUBLIC BANCORP IN | COM | 174420109 | 337 | 20900 | SH | DEFINED | 1 | 2 | |
| COACH INC | COM | 189754104 | 511 | 10800 | SH | DEFINED | 1 | 2 | |
| COCA COLA CO | COM | 191216100 | 5029 | 87500 | SH | DEFINED | 1 | 2 | |
| COGNIZANT TECHNOLOGY SOLUTIO | CL A | 192446102 | 4387 | 55000 | SH | DEFINED | 1 | 2 | |
| COLLECTIVE BRANDS INC | COM | 19421W100 | 6594 | 298900 | SH | DEFINED | 1 | 2 | |
| COLONIAL BANGROUP INC | COM | 195493309 | 693 | 32066 | SH | DEFINED | 1 | 2 | |
| COLUMBUS ACQUISITION CORP | *W EXP 05/18/20 | 198851115 | 62 | 72700 | SH | DEFINED | 1 | 2 | |
| COLUMBUS ACQUISITION CORP | COM | 198851107 | 2211 | 300000 | SH | DEFINED | 1 | 2 | |
| COMERICA INC | COM | 200340107 | 953 | 18590 | SH | DEFINED | 1 | 2 | |
| COMMERCE BANCORP INC NJ | COM | 200519106 | 3956 | 102000 | SH | DEFINED | 1 | 2 | |
| CONAGRA FOODS INC | COM | 205887102 | 25555 | 978000 | SH | DEFINED | 1 | 2 | |
| COUNTRYWIDE FINANCIAL CORP | COM | 222372104 | 968 | 50914 | SH | DEFINED | 1 | 2 | |
| CROWN CASTLE INTL CORP | COM | 228227104 | 3047 | 75000 | SH | DEFINED | 1 | 2 | |
| CROWN HOLDINGS INC | COM | 228368106 | 19328 | 849200 | SH | DEFINED | 1 | 2 | |
| DANAOS CORPORATION | SHS | Y1968P105 | 12760 | 353461 | SH | DEFINED | 1 | 2 | |

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|------------------------------|-----------------|-----------|--------|----------|----|------|---------|---|---|
| DARLING INTL INC | COM | 237266101 | 500 | 50600 | SH | | DEFINED | 1 | 2 |
| DAVITA INC | COM | 23918K108 | 632 | 10000 | SH | | DEFINED | 1 | 2 |
| DEERE & CO | COM | 244199105 | 4684 | 31560 | SH | | DEFINED | 1 | 2 |
| DEVON ENERGY CORP NEW | COM | 25179M103 | 582 | 7000 | SH | | DEFINED | 1 | 2 |
| DIAMOND OFFSHORE DRILLING IN | COM | 25271C102 | 793 | 7000 | SH | | DEFINED | 1 | 2 |
| DIAMOND OFFSHORE DRILLING IN | COM | 25271C102 | 14797 | 130610 | SH | | DEFINED | 1 | 2 |
| DOBSON COMMUNICATIONS CORP | CL A | 256069105 | 288 | 22500 | SH | | DEFINED | 1 | 2 |
| DOWNEY FINL CORP | COM | 261018105 | 412 | 7123 | SH | | DEFINED | 1 | 2 |
| DU PONT E I DE NEMOURS & CO | COM | 263534109 | 674 | 13600 | SH | | DEFINED | 1 | 2 |
| DXP ENTERPRISES INC NEW | COM NEW | 233377407 | 511 | 14400 | SH | | DEFINED | 1 | 2 |
| E M C CORP MASS | COM | 268648102 | 8736 | 420000 | SH | | DEFINED | 1 | 2 |
| EBAY INC | COM | 278642103 | 3524 | 90300 | SH | | DEFINED | 1 | 2 |
| EL PASO CORP | COM | 28336L109 | 20371 | 1200400 | SH | | DEFINED | 1 | 2 |
| EL PASO CORP | COM | 28336L109 | 3292 | 194000 | SH | | DEFINED | 1 | 2 |
| EL PASO CORP | COM | 28336L109 | 13576 | 800000 | SH | PUT | DEFINED | 1 | 2 |
| EL PASO CORP | COM | 28336L109 | 5430 | 320000 | SH | PUT | DEFINED | 1 | 2 |
| EMCORE CORP | COM | 290846104 | 128 | 13380 | SH | | DEFINED | 1 | 2 |
| ENTEGRIS INC | COM | 29362U104 | 93 | 10740 | SH | | DEFINED | 1 | 2 |
| EOG RES INC | COM | 26875P101 | 511 | 7060 | SH | | DEFINED | 1 | 2 |
| EVOLUTION PETROLEUM CORP | COM | 30049A107 | 4080 | 1360000 | SH | | DEFINED | 1 | 2 |
| EXCO RESOURCES INC | COM | 269279402 | 22521 | 1361600 | SH | | DEFINED | 1 | 2 |
| EXCO RESOURCES INC | COM | 269279402 | 4552 | 275200 | SH | | DEFINED | 1 | 2 |
| EXCO RESOURCES INC | COM | 269279402 | 17450 | 1055000 | SH | PUT | DEFINED | 1 | 2 |
| EXCO RESOURCES INC | COM | 269279402 | 2461 | 148800 | SH | PUT | DEFINED | 1 | 2 |
| EXELON CORP | COM | 30161N101 | 663 | 8800 | SH | | DEFINED | 1 | 2 |
| FALCONSTOR SOFTWARE INC | COM | 306137100 | 167 | 13870 | SH | | DEFINED | 1 | 2 |
| FEDERAL HOME LN MTG CORP | COM | 313400301 | 5706 | 96700 | SH | | DEFINED | 1 | 2 |
| FEDERAL NATL MTG ASSN | COM | 313586109 | 5217 | 85800 | SH | | DEFINED | 1 | 2 |
| FINISH LINE INC | CL A | 317923100 | 868 | 200000 | SH | | DEFINED | 1 | 2 |
| FIRST CMNTY BANCORP CALIF | COM | 31983B101 | 375 | 6847 | SH | | DEFINED | 1 | 2 |
| FIRST HORIZON NATL CORP | COM | 320517105 | 604 | 22654 | SH | | DEFINED | 1 | 2 |
| FIRST NIAGARA FINL GP INC | COM | 33582V108 | 387 | 27385 | SH | | DEFINED | 1 | 2 |
| FIRSTMERIT CORP | COM | 337915102 | 380 | 19227 | SH | | DEFINED | 1 | 2 |
| FREEDOM ACQUISITION HLDGS IN | *W EXP 12/28/20 | 35645F111 | 2529 | 829250 | SH | | DEFINED | 1 | 2 |
| FULTON FINL CORP PA | COM | 360271100 | 692 | 48099 | SH | | DEFINED | 1 | 2 |
| GAP INC DEL | COM | 364760108 | 1944 | 105400 | SH | | DEFINED | 1 | 2 |
| GATEHOUSE MEDIA INC | COM | 367348109 | 281138 | 22050000 | SH | | DEFINED | 1 | 2 |
| GATEHOUSE MEDIA INC | COM | 367348109 | 17009 | 1334011 | SH | | DEFINED | 1 | 2 |
| GATEHOUSE MEDIA INC | COM | 367348109 | 11804 | 925800 | SH | | DEFINED | 1 | 2 |
| GENENTECH INC | COM NEW | 368710406 | 663 | 8500 | SH | | DEFINED | 1 | 2 |
| GENERAL CABLE CORP DEL NEW | COM | 369300108 | 497 | 7400 | SH | | DEFINED | 1 | 2 |
| GENERAL ELECTRIC CO | COM | 369604103 | 5452 | 131700 | SH | | DEFINED | 1 | 2 |
| GENERAL MTRS CORP | COM | 370442105 | 19275 | 525200 | SH | | DEFINED | 1 | 2 |
| GENERAL MTRS CORP | SENIOR DEBEN D | 370442691 | 2261 | 80000 | SH | | DEFINED | 1 | 2 |
| GENERAL MTRS CORP | COM | 370442105 | 7806 | 212700 | SH | PUT | DEFINED | 1 | 2 |
| GOLDMAN SACHS GROUP INC | COM | 38141G104 | 5050 | 23300 | SH | | DEFINED | 1 | 2 |
| GOODYEAR TIRE & RUBR CO | COM | 382550101 | 8749 | 287700 | SH | | DEFINED | 1 | 2 |
| GOODYEAR TIRE & RUBR CO | COM | 382550101 | 9123 | 300000 | SH | CALL | DEFINED | 1 | 2 |
| GOOGLE INC | CL A | 38259P508 | 2836 | 5000 | SH | | DEFINED | 1 | 2 |
| GOOGLE INC | CL A | 38259P508 | 4255 | 7500 | SH | CALL | DEFINED | 1 | 2 |
| GREATER BAY BANCORP | COM | 391648102 | 387 | 14021 | SH | | DEFINED | 1 | 2 |
| GUITAR CTR MGMT INC | COM | 402040109 | 2965 | 50000 | SH | | DEFINED | 1 | 2 |
| GUITAR CTR MGMT INC | COM | 402040109 | 741 | 12500 | SH | CALL | DEFINED | 1 | 2 |
| HALLIBURTON CO | COM | 406216101 | 922 | 24000 | SH | | DEFINED | 1 | 2 |
| HALLIBURTON CO | COM | 406216101 | 5400 | 140630 | SH | | DEFINED | 1 | 2 |
| HARRAHS ENTMT INC | COM | 413619107 | 11275 | 129700 | SH | | DEFINED | 1 | 2 |
| HARRAHS ENTMT INC | COM | 413619107 | 36624 | 421300 | SH | | DEFINED | 1 | 2 |
| HERSHEY CO | COM | 427866108 | 4641 | 100000 | SH | | DEFINED | 1 | 2 |
| HESS CORP | COM | 42809H107 | 1264 | 19000 | SH | | DEFINED | 1 | 2 |
| HEWLETT PACKARD CO | COM | 428236103 | 3485 | 70000 | SH | | DEFINED | 1 | 2 |
| HILTON HOTELS CORP | COM | 432848109 | 59577 | 1281500 | SH | | DEFINED | 1 | 2 |
| HILTON HOTELS CORP | COM | 432848109 | 9716 | 209000 | SH | | DEFINED | 1 | 2 |

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|------------------------------|-----------------|-----------|-------|---------|----|---------|---------|---|---|
| HONEYWELL INTL INC | COM | 438516106 | 6090 | 102400 | SH | DEFINED | 1 | 2 | |
| HUDSON CITY BANCORP | COM | 443683107 | 1273 | 82784 | SH | DEFINED | 1 | 2 | |
| HUNTINGTON BANCSHARES INC | COM | 446150104 | 1024 | 60282 | SH | DEFINED | 1 | 2 | |
| ILLUMINA INC | COM | 452327109 | 1051 | 20250 | SH | DEFINED | 1 | 2 | |
| INDYMAC BANCORP INC | COM | 456607100 | 6910 | 292673 | SH | DEFINED | 1 | 2 | |
| INFORMATION SERVICES GROUP I | *W EXP 01/31/20 | 45675Y112 | 524 | 719000 | SH | DEFINED | 1 | 2 | |
| INFORMATION SERVICES GROUP I | COM | 45675Y104 | 13579 | 1775000 | SH | DEFINED | 1 | 2 | |
| INFOSYS TECHNOLOGIES LTD | SPONSORED ADR | 456788108 | 1887 | 39000 | SH | DEFINED | 1 | 2 | |
| INTERCONTINENTALEXCHANGE INC | COM | 45865V100 | 3038 | 20000 | SH | DEFINED | 1 | 2 | |
| INTERCONTINENTALEXCHANGE INC | COM | 45865V100 | 1519 | 10000 | SH | CALL | DEFINED | 1 | 2 |
| INTERNATIONAL BUSINESS MACHS | COM | 459200101 | 660 | 5600 | SH | DEFINED | 1 | 2 | |
| INVESTORS BANCORP INC | COM | 46146P102 | 507 | 35808 | SH | DEFINED | 1 | 2 | |
| ISHARES TR | DJ US REAL EST | 464287739 | 10323 | 135000 | SH | DEFINED | 1 | 2 | |
| ISHARES TR | RUSSELL 2000 | 464287655 | 200 | 2500 | SH | DEFINED | 1 | 2 | |
| ISHARES TR | RUSSELL 2000 | 464287655 | 56028 | 700000 | SH | PUT | DEFINED | 1 | 2 |
| ISHARES TR | DJ US TELECOMM | 464287713 | 3080 | 91000 | SH | DEFINED | 1 | 2 | |
| ISHARES TR | RUSSELL 2000 | 464287655 | 8028 | 100300 | SH | DEFINED | 1 | 2 | |
| ISIS PHARMACEUTICALS INC | COM | 464330109 | 641 | 42800 | SH | DEFINED | 1 | 2 | |
| JOHNSON & JOHNSON | COM | 478160104 | 677 | 10300 | SH | DEFINED | 1 | 2 | |
| JP MORGAN CHASE & CO | COM | 46625H100 | 1075 | 23457 | SH | DEFINED | 1 | 2 | |
| KAPSTONE PAPER & PACKAGING C | COM | 48562P103 | 3464 | 482500 | SH | DEFINED | 1 | 2 | |
| KBL HEALTHCARE ACQUIS CORP I | UNIT 99/99/9999 | 48241N206 | 5100 | 658100 | SH | DEFINED | 1 | 2 | |
| KEYCORP NEW | COM | 493267108 | 1021 | 31585 | SH | DEFINED | 1 | 2 | |
| KINDER MORGAN ENERGY PARTNER | UT LTD PARTNER | 494550106 | 1012 | 20490 | SH | DEFINED | 1 | 2 | |
| KIRBY CORP | COM | 497266106 | 516 | 11700 | SH | DEFINED | 1 | 2 | |
| KODIAK OIL & GAS CORP | COM | 50015Q100 | 429 | 130000 | SH | DEFINED | 1 | 2 | |
| KOHL'S CORP | COM | 500255104 | 14952 | 260800 | SH | DEFINED | 1 | 2 | |
| KRAFT FOODS INC | CL A | 50075N104 | 9318 | 270000 | SH | CALL | DEFINED | 1 | 2 |
| KRAFT FOODS INC | CL A | 50075N104 | 3451 | 100000 | SH | DEFINED | 1 | 2 | |
| KROGER CO | COM | 501044101 | 2393 | 83920 | SH | DEFINED | 1 | 2 | |
| L-3 COMMUNICATIONS HLDGS INC | COM | 502424104 | 276 | 2700 | SH | DEFINED | 1 | 2 | |
| LEHMAN BROS HLDGS INC | COM | 524908100 | 2068 | 33500 | SH | DEFINED | 1 | 2 | |
| LIFE TIME FITNESS INC | COM | 53217R207 | 5641 | 91970 | SH | DEFINED | 1 | 2 | |
| LIFECELL CORP | COM | 531927101 | 214 | 5692 | SH | DEFINED | 1 | 2 | |
| LIMITED BRANDS INC | COM | 532716107 | 36624 | 1600000 | SH | CALL | DEFINED | 1 | 2 |
| LOEWS CORP | CAROLNA GP STK | 540424207 | 205 | 2490 | SH | DEFINED | 1 | 2 | |
| LOUISIANA PAC CORP | COM | 546347105 | 849 | 50000 | SH | DEFINED | 1 | 2 | |
| LOWES COS INC | COM | 548661107 | 656 | 23400 | SH | DEFINED | 1 | 2 | |
| LSI CORPORATION | COM | 502161102 | 2226 | 300000 | SH | DEFINED | 1 | 2 | |
| LYONDELL CHEMICAL CO | COM | 552078107 | 21483 | 463500 | SH | DEFINED | 1 | 2 | |
| LYONDELL CHEMICAL CO | COM | 552078107 | 4496 | 97000 | SH | DEFINED | 1 | 2 | |
| M & T BK CORP | COM | 55261F104 | 1129 | 10909 | SH | DEFINED | 1 | 2 | |
| MARATHON ACQUISITION CORP | COM | 565756103 | 11046 | 1400000 | SH | DEFINED | 1 | 2 | |
| MARSHALL & ILSLEY CORP | COM | 571834100 | 1055 | 24105 | SH | DEFINED | 1 | 2 | |
| MARVELL TECHNOLOGY GROUP LTD | ORD | G5876H105 | 1310 | 80000 | SH | DEFINED | 1 | 2 | |
| MASTERCARD INC | CL A | 57636Q104 | 3699 | 25000 | SH | DEFINED | 1 | 2 | |
| MATRIA HEALTHCARE INC | COM NEW | 576817209 | 215 | 8200 | SH | DEFINED | 1 | 2 | |
| MBF HEALTHCARE ACQUISITION C | *W EXP 04/16/20 | 552650111 | 881 | 1087500 | SH | DEFINED | 1 | 2 | |
| MBF HEALTHCARE ACQUISITION C | COM | 552650103 | 9036 | 1200000 | SH | DEFINED | 1 | 2 | |
| MCAFEE INC | COM | 579064106 | 274 | 7850 | SH | DEFINED | 1 | 2 | |
| MCGRAW HILL COS INC | COM | 580645109 | 514 | 10100 | SH | DEFINED | 1 | 2 | |
| MEMC ELECTR MATLS INC | COM | 552715104 | 471 | 8000 | SH | DEFINED | 1 | 2 | |
| MERRILL LYNCH & CO INC | COM | 590188108 | 684 | 9600 | SH | DEFINED | 1 | 2 | |
| MIDWEST AIR GROUP INC | COM | 597911106 | 323 | 19650 | SH | DEFINED | 1 | 2 | |
| MILLENNIUM PHARMACEUTICALS I | COM | 599902103 | 112 | 11010 | SH | DEFINED | 1 | 2 | |
| MIRAMAR MINING CORP | COM | 60466E100 | 1108 | 233728 | SH | DEFINED | 1 | 2 | |
| MIRANT CORP NEW | COM | 60467R100 | 34578 | 850000 | SH | DEFINED | 1 | 2 | |
| MOLSON COORS BREWING CO | CL B | 60871R209 | 439 | 4400 | SH | DEFINED | 1 | 2 | |
| MONSANTO CO NEW | COM | 61166W101 | 292 | 3400 | SH | DEFINED | 1 | 2 | |
| MOOG INC | CL A | 615394202 | 431 | 9800 | SH | DEFINED | 1 | 2 | |
| MORGAN STANLEY CHINA A SH FD | COM | 617468103 | 951 | 13600 | SH | DEFINED | 1 | 2 | |
| NASTECH PHARMACEUTICAL INC | COM PAR \$0.006 | 631728409 | 470 | 35300 | SH | DEFINED | 1 | 2 | |

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|------------------------------|---------------|-----------|-------|---------|----|------|---------|---|---|
| NATIONAL CITY CORP | COM | 635405103 | 878 | 34987 | SH | | DEFINED | 1 | 2 |
| NATIONAL FUEL GAS CO N J | COM | 636180101 | 418 | 8940 | SH | | DEFINED | 1 | 2 |
| NATIONAL OILWELL VARCO INC | COM | 637071101 | 296 | 2050 | SH | | DEFINED | 1 | 2 |
| NAVIOS MARITIME HOLDINGS INC | COM | Y62196103 | 7227 | 550000 | SH | | DEFINED | 1 | 2 |
| NAVIOS MARITIME HOLDINGS INC | COM | Y62196103 | 131 | 10000 | SH | | DEFINED | 1 | 2 |
| NAVISTAR INTL CORP | COM NEW | 63934E108 | 32281 | 523200 | SH | | DEFINED | 1 | 2 |
| NAVTEQ CORP | COM | 63936L100 | 483 | 6200 | SH | | DEFINED | 1 | 2 |
| NETGEAR INC | COM | 64111Q104 | 577 | 18964 | SH | | DEFINED | 1 | 2 |
| NEWALLIANCE BANCSHARES INC | COM | 650203102 | 391 | 26613 | SH | | DEFINED | 1 | 2 |
| NEWCASTLE INVT CORP | COM | 65105M108 | 18073 | 1025729 | SH | | DEFINED | 1 | 2 |
| NEWFIELD EXPL CO | COM | 651290108 | 25106 | 521300 | SH | | DEFINED | 1 | 2 |
| NEXEN INC | COM | 65334H102 | 2222 | 72750 | SH | | DEFINED | 1 | 2 |
| NEXEN INC | COM | 65334H102 | 16492 | 540000 | SH | | DEFINED | 1 | 2 |
| NEXEN INC | COM | 65334H102 | 13132 | 430000 | SH | PUT | DEFINED | 1 | 2 |
| NEXEN INC | COM | 65334H102 | 2443 | 80000 | SH | PUT | DEFINED | 1 | 2 |
| NORDSTROM INC | COM | 655664100 | 488 | 10400 | SH | | DEFINED | 1 | 2 |
| NORTHSTAR RLTY FIN CORP | COM | 66704R100 | 4940 | 497500 | SH | | DEFINED | 1 | 2 |
| NORTHWESTERN CORP | COM NEW | 668074305 | 10221 | 376196 | SH | | DEFINED | 1 | 2 |
| NTELOS HLDGS CORP | COM | 67020Q107 | 2946 | 100000 | SH | | DEFINED | 1 | 2 |
| NTR ACQUISITION CO | COM | 629415100 | 10428 | 1100000 | SH | | DEFINED | 1 | 2 |
| ODYSSEY MARINE EXPLORATION I | COM | 676118102 | 25373 | 4099059 | SH | | DEFINED | 1 | 2 |
| ODYSSEY MARINE EXPLORATION I | COM | 676118102 | 1189 | 192000 | SH | | DEFINED | 1 | 2 |
| OIL SVC HOLDRS TR | DEPOSTRY RCPT | 678002106 | 1246 | 6500 | SH | | DEFINED | 1 | 2 |
| OLD NATL BANCORP IND | COM | 680033107 | 390 | 23553 | SH | | DEFINED | 1 | 2 |
| OMNICOM GROUP INC | COM | 681919106 | 1924 | 40000 | SH | | DEFINED | 1 | 2 |
| OSHKOSH TRUCK CORP | COM | 688239201 | 533 | 8600 | SH | | DEFINED | 1 | 2 |
| PACIFIC CAP BANCORP NEW | COM | 69404P101 | 392 | 14923 | SH | | DEFINED | 1 | 2 |
| PACIFIC ETHANOL INC | COM | 69423U107 | 138 | 14300 | SH | | DEFINED | 1 | 2 |
| PAETEC HOLDING CORP | COM | 695459107 | 1949 | 156280 | SH | | DEFINED | 1 | 2 |
| PANERA BREAD CO | CL A | 69840W108 | 490 | 12000 | SH | | DEFINED | 1 | 2 |
| PATNI COMPUTER SYS | SPONS ADR | 703248203 | 3747 | 160460 | SH | | DEFINED | 1 | 2 |
| PEABODY ENERGY CORP | COM | 704549104 | 3495 | 73000 | SH | | DEFINED | 1 | 2 |
| PENNEY J C INC | COM | 708160106 | 475 | 7500 | SH | | DEFINED | 1 | 2 |
| PEOPLES UNITED FINANCIAL INC | COM | 712704105 | 688 | 39831 | SH | | DEFINED | 1 | 2 |
| PEOPLESUPPORT INC | COM | 712714302 | 6016 | 503000 | SH | | DEFINED | 1 | 2 |
| PEPSICO INC | COM | 713448108 | 5128 | 70000 | SH | | DEFINED | 1 | 2 |
| PERINI CORP | COM | 713839108 | 543 | 9700 | SH | | DEFINED | 1 | 2 |
| PLATINUM GROUP METALS LTD | COM NEW | 72765Q205 | 296 | 74100 | SH | | DEFINED | 1 | 2 |
| PNC FINL SVCS GROUP INC | COM | 693475105 | 1129 | 16580 | SH | | DEFINED | 1 | 2 |
| POWERWAVE TECHNOLOGIES INC | COM | 739363109 | 93 | 15080 | SH | | DEFINED | 1 | 2 |
| PRICELINE COM INC | COM NEW | 741503403 | 506 | 5700 | SH | | DEFINED | 1 | 2 |
| PRIMEDIA INC | COM NEW | 74157K846 | 291 | 20737 | SH | | DEFINED | 1 | 2 |
| PROCTER & GAMBLE CO | COM | 742718109 | 5100 | 72500 | SH | | DEFINED | 1 | 2 |
| PROSHARES TR | REAL EST PRO | 74347R552 | 5347 | 60000 | SH | | DEFINED | 1 | 2 |
| PROSPERITY BANCSHARES INC | COM | 743606105 | 383 | 11544 | SH | | DEFINED | 1 | 2 |
| PROTALIX BIOTHERAPEUTICS INC | COM | 74365A101 | 1290 | 37328 | SH | | DEFINED | 1 | 2 |
| PROVIDENT FINL SVCS INC | COM | 74386T105 | 436 | 26659 | SH | | DEFINED | 1 | 2 |
| QUALCOMM INC | COM | 747525103 | 8038 | 190200 | SH | | DEFINED | 1 | 2 |
| QUALCOMM INC | COM | 747525103 | 2641 | 62500 | SH | CALL | DEFINED | 1 | 2 |
| QUICKSILVER RESOURCES INC | COM | 74837R104 | 463 | 9840 | SH | | DEFINED | 1 | 2 |
| QUILMES INDL QUINSA SOCIETE | SP ADR CL B | 74838Y207 | 37694 | 563014 | SH | | DEFINED | 1 | 2 |
| QWEST COMMUNICATIONS INTL IN | COM | 749121109 | 11450 | 1250000 | SH | | DEFINED | 1 | 2 |
| RADIOSHACK CORP | COM | 750438103 | 13429 | 650000 | SH | | DEFINED | 1 | 2 |
| RED HAT INC | COM | 756577102 | 5703 | 287000 | SH | | DEFINED | 1 | 2 |
| REDWOOD TR INC | COM | 758075402 | 337 | 10149 | SH | | DEFINED | 1 | 2 |
| REGIONS FINANCIAL CORP NEW | COM | 7591EP100 | 983 | 33352 | SH | | DEFINED | 1 | 2 |
| RENAISSANCERE HOLDINGS LTD | COM | G7496G103 | 3925 | 60000 | SH | PUT | DEFINED | 1 | 2 |
| REPUBLIC AWYS HLDGS INC | COM | 760276105 | 219 | 10350 | SH | | DEFINED | 1 | 2 |
| REPUBLIC SVCS INC | COM | 760759100 | 464 | 14200 | SH | | DEFINED | 1 | 2 |
| RESEARCH IN MOTION LTD | COM | 760975102 | 3449 | 35000 | SH | | DEFINED | 1 | 2 |
| RESEARCH IN MOTION LTD | COM | 760975102 | 1626 | 16500 | SH | CALL | DEFINED | 1 | 2 |
| RESOURCE CAP CORP | COM | 76120W302 | 548 | 48668 | SH | | DEFINED | 1 | 2 |

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|-------------------------------|-----------------|-----------|-------|---------|----|------|---------|---|---|
| SAFEWAY INC | COM NEW | 786514208 | 310 | 9370 | SH | | DEFINED | 1 | 2 |
| SANDERSON FARMS INC | COM | 800013104 | 542 | 13000 | SH | | DEFINED | 1 | 2 |
| SCHLUMBERGER LTD | COM | 806857108 | 836 | 7960 | SH | | DEFINED | 1 | 2 |
| SEARS HLDGS CORP | COM | 812350106 | 6360 | 50000 | SH | | DEFINED | 1 | 2 |
| SELECT SECTOR SPDR TR | SBI INT-TECH | 81369Y803 | 3075 | 114000 | SH | | DEFINED | 1 | 2 |
| SELECT SECTOR SPDR TR | SBI INT-FINL | 81369Y605 | 25740 | 750000 | SH | CALL | DEFINED | 1 | 2 |
| SELECT SECTOR SPDR TR | SBI CONS DISCR | 81369Y407 | 6187 | 168000 | SH | | DEFINED | 1 | 2 |
| SELECT SECTOR SPDR TR | SBI INT-INDS | 81369Y704 | 10558 | 257000 | SH | | DEFINED | 1 | 2 |
| SELECT SECTOR SPDR TR | SBI MATERIALS | 81369Y100 | 13644 | 324000 | SH | | DEFINED | 1 | 2 |
| SELECT SECTOR SPDR TR | SBI CONS STPLS | 81369Y308 | 3106 | 111000 | SH | | DEFINED | 1 | 2 |
| SKECHERS U S A INC | CL A | 830566105 | 539 | 24400 | SH | | DEFINED | 1 | 2 |
| SLM CORP | COM | 78442P106 | 18279 | 368000 | SH | | DEFINED | 1 | 2 |
| SLM CORP | COM | 78442P106 | 4321 | 87000 | SH | | DEFINED | 1 | 2 |
| SLM CORP | COM | 78442P106 | 11921 | 240000 | SH | PUT | DEFINED | 1 | 2 |
| SLM CORP | COM | 78442P106 | 2484 | 50000 | SH | PUT | DEFINED | 1 | 2 |
| SMITHFIELD FOODS INC | COM | 832248108 | 15435 | 490000 | SH | | DEFINED | 1 | 2 |
| SOUTH FINL GROUP INC | COM | 837841105 | 367 | 16154 | SH | | DEFINED | 1 | 2 |
| SOUTHWESTERN ENERGY CO | COM | 845467109 | 18930 | 452320 | SH | | DEFINED | 1 | 2 |
| SOUTHWESTERN ENERGY CO | COM | 845467109 | 10463 | 250000 | SH | PUT | DEFINED | 1 | 2 |
| SOVEREIGN BANCORP INC | COM | 845905108 | 979 | 57477 | SH | | DEFINED | 1 | 2 |
| SPDR TR | UNIT SER 1 | 78462F103 | 4120 | 27000 | SH | | DEFINED | 1 | 2 |
| SPDR TR | UNIT SER 1 | 78462F103 | 8201 | 53750 | SH | | DEFINED | 1 | 2 |
| SPDR TR | UNIT SER 1 | 78462F103 | 16784 | 110000 | SH | PUT | DEFINED | 1 | 2 |
| STARENT NETWORKS CORP | COM | 85528P108 | 407 | 19258 | SH | | DEFINED | 1 | 2 |
| STARWOOD HOTELS&RESORTS WRLD | COM | 85590A401 | 31566 | 519600 | SH | | DEFINED | 1 | 2 |
| STARWOOD HOTELS&RESORTS WRLD | COM | 85590A401 | 3080 | 50700 | SH | | DEFINED | 1 | 2 |
| STATE STR CORP | COM | 857477103 | 1022 | 15000 | SH | | DEFINED | 1 | 2 |
| STERICYCLE INC | COM | 858912108 | 583 | 10200 | SH | | DEFINED | 1 | 2 |
| STERLING FINL CORP WASH | COM | 859319105 | 394 | 14646 | SH | | DEFINED | 1 | 2 |
| STONELEIGH PARTNERS ACQUS CO | COM | 861923100 | 9293 | 1247500 | SH | | DEFINED | 1 | 2 |
| STONELEIGH PARTNERS ACQUS CO | *W EXP 05/31/20 | 861923126 | 380 | 500000 | SH | | DEFINED | 1 | 2 |
| SUN HYDRAULICS CORP | COM | 866942105 | 567 | 17845 | SH | | DEFINED | 1 | 2 |
| SUNTRUST BKS INC | COM | 867914103 | 1011 | 13367 | SH | | DEFINED | 1 | 2 |
| SUSQUEHANNA BANCSHARES INC P | COM | 869099101 | 384 | 19085 | SH | | DEFINED | 1 | 2 |
| SYCAMORE NETWORKS INC | COM | 871206108 | 109 | 26720 | SH | | DEFINED | 1 | 2 |
| SYMMETRY HLDGS INC | COM | 871545109 | 9350 | 1250000 | SH | | DEFINED | 1 | 2 |
| TAKE-TWO INTERACTIVE SOFTWARE | COM | 874054109 | 8540 | 500000 | SH | | DEFINED | 1 | 2 |
| TARGA RESOURCES PARTNERS LP | COM UNIT | 87611X105 | 9349 | 322378 | SH | | DEFINED | 1 | 2 |
| TARGET CORP | COM | 87612E106 | 31658 | 498000 | SH | | DEFINED | 1 | 2 |
| TELUS CORP | NON-VTG SHS | 87971M202 | 1685 | 30000 | SH | | DEFINED | 1 | 2 |
| TEMPLE INLAND INC | COM | 879868107 | 789 | 15000 | SH | | DEFINED | 1 | 2 |
| TEMPLE INLAND INC | COM | 879868107 | 526 | 10000 | SH | | DEFINED | 1 | 2 |
| TERREMARK WORLDWIDE INC | COM NEW | 881448203 | 106 | 14720 | SH | | DEFINED | 1 | 2 |
| TEVA PHARMACEUTICAL INDS LTD | ADR | 881624209 | 503 | 11321 | SH | | DEFINED | 1 | 2 |
| TEXAS INSTRS INC | COM | 882508104 | 659 | 18000 | SH | | DEFINED | 1 | 2 |
| THERMO FISHER SCIENTIFIC INC | COM | 883556102 | 548 | 9500 | SH | | DEFINED | 1 | 2 |
| TRANSOCEAN INC | ORD | G90078109 | 228 | 2020 | SH | | DEFINED | 1 | 2 |
| TRAVELERS COMPANIES INC | COM | 89417E109 | 664 | 13200 | SH | | DEFINED | 1 | 2 |
| TREEHOUSE FOODS INC | COM | 89469A104 | 9598 | 354830 | SH | | DEFINED | 1 | 2 |
| TRIMBLE NAVIGATION LTD | COM | 896239100 | 490 | 12500 | SH | | DEFINED | 1 | 2 |
| TRUSTMARK CORP | COM | 898402102 | 439 | 15650 | SH | | DEFINED | 1 | 2 |
| U S G CORP | COM NEW | 903293405 | 391 | 10400 | SH | | DEFINED | 1 | 2 |
| UAL CORP | COM NEW | 902549807 | 15355 | 330000 | SH | CALL | DEFINED | 1 | 2 |
| ULTRA PETROLEUM CORP | COM | 903914109 | 444 | 7160 | SH | | DEFINED | 1 | 2 |
| UMPQUA HLDGS CORP | COM | 904214103 | 344 | 17170 | SH | | DEFINED | 1 | 2 |
| UNION STREET ACQ CORP | *W EXP 02/05/20 | 908536113 | 66 | 100000 | SH | | DEFINED | 1 | 2 |
| UNION STREET ACQ CORP | COM | 908536105 | 4624 | 612500 | SH | | DEFINED | 1 | 2 |
| UNITED CMNTY BKS BLAIRSVLE G | CAP STK | 90984P105 | 361 | 14712 | SH | | DEFINED | 1 | 2 |
| UNITED TECHNOLOGIES CORP | COM | 913017109 | 660 | 8200 | SH | | DEFINED | 1 | 2 |
| UNITEDHEALTH GROUP INC | COM | 91324P102 | 668 | 13800 | SH | | DEFINED | 1 | 2 |
| URANIUM RES INC | COM PAR \$0.001 | 916901507 | 1314 | 139977 | SH | | DEFINED | 1 | 2 |
| VAIL RESORTS INC | COM | 91879Q109 | 203 | 3260 | SH | | DEFINED | 1 | 2 |

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|-----------------------------|-----------------|-----------|-------|---------|----|---------|---------|---|---|
| VANTAGE ENERGY SERVICES INC | COM | 92209F102 | 7510 | 1000000 | SH | DEFINED | 1 | 2 | |
| VANTAGE ENERGY SERVICES INC | *W EXP 99/99/99 | 92209F110 | 501 | 456000 | SH | DEFINED | 1 | 2 | |
| VERENIUM CORPORATION | COM | 92340P100 | 139 | 26405 | SH | DEFINED | 1 | 2 | |
| VERIZON COMMUNICATIONS | COM | 92343V104 | 2214 | 50000 | SH | DEFINED | 1 | 2 | |
| VIACOM INC NEW | CL B | 92553P201 | 316 | 8100 | SH | DEFINED | 1 | 2 | |
| VICTORY ACQUISITION CORP | *W EXP 04/24/20 | 92644D118 | 499 | 367000 | SH | DEFINED | 1 | 2 | |
| VICTORY ACQUISITION CORP | COM | 92644D100 | 13941 | 1499000 | SH | DEFINED | 1 | 2 | |
| WACHOVIA CORP NEW | COM | 929903102 | 1100 | 21941 | SH | DEFINED | 1 | 2 | |
| WALGREEN CO | COM | 931422109 | 661 | 14000 | SH | DEFINED | 1 | 2 | |
| WASHINGTON FED INC | COM | 938824109 | 715 | 27239 | SH | DEFINED | 1 | 2 | |
| WASHINGTON MUT INC | COM | 939322103 | 1017 | 28813 | SH | DEFINED | 1 | 2 | |
| WEBMD HEALTH CORP | CL A | 94770V102 | 261 | 5000 | SH | DEFINED | 1 | 2 | |
| WEBSTER FINL CORP CONN | COM | 947890109 | 695 | 16501 | SH | DEFINED | 1 | 2 | |
| WEYERHAEUSER CO | COM | 962166104 | 39668 | 548660 | SH | DEFINED | 1 | 2 | |
| WEYERHAEUSER CO | COM | 962166104 | 4992 | 69040 | SH | DEFINED | 1 | 2 | |
| WEYERHAEUSER CO | COM | 962166104 | 51333 | 710000 | SH | PUT | DEFINED | 1 | 2 |
| WILLIAMS COS INC DEL | COM | 969457100 | 24310 | 713750 | SH | DEFINED | 1 | 2 | |
| WILLIAMS COS INC DEL | COM | 969457100 | 22480 | 660000 | SH | PUT | DEFINED | 1 | 2 |
| WILLIAMS COS INC DEL | COM | 969457100 | 26441 | 776300 | SH | DEFINED | 1 | 2 | |
| WILLIAMS COS INC DEL | COM | 969457100 | 2725 | 80000 | SH | PUT | DEFINED | 1 | 2 |
| WINTRUST FINANCIAL CORP | COM | 97650W108 | 383 | 8974 | SH | DEFINED | 1 | 2 | |
| WRIGLEY WM JR CO | COM | 982526105 | 10123 | 157600 | SH | DEFINED | 1 | 2 | |
| XTO ENERGY INC | COM | 98385X106 | 579 | 9360 | SH | DEFINED | 1 | 2 | |
| YAHOO INC | COM | 984332106 | 5368 | 200000 | SH | DEFINED | 1 | 2 | |
| YAHOO INC | COM | 984332106 | 92061 | 3430000 | SH | CALL | DEFINED | 1 | 2 |
| ZIONS BANCORPORATION | COM | 989701107 | 1009 | 14694 | SH | DEFINED | 1 | 2 | |