Edgar Filing: UMPQUA HOLDINGS CORP - Form 5

UMPQUA HOLDINGS CORP Form 5 February FOR

Stock

Common

Form 5	2014											
February 07,										PPROVAL		
FORM 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									3235-0362			
Check this no longer s	subject	Washington, D.C. 20549 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								January 31, 2005		
to Section Form 4 or 5 obligatio may contin	Form ANN									average rs per 1.0		
See Instruct 1(b). Form 3 Ho Reported Form 4 Transactio Reported	Filed purs ^{oldings} Section 17(a	a) of the l	Public Ut	· /	g Compa	ny A	ct of 1		n			
1. Name and Address of Reporting Person <u>*</u> Seeger Laureen			2. Issuer Name and Ticker or Trading Symbol UMPQUA HOLDINGS CORP [UMPQ]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle)			3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2013				-	X_ Director 10% Owner Officer (give title Other (specify below) below)				
ONE SW CO SUITE 1200	OLUMBIA STRE)	EET,	12/31/20	15								
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				(6. Individual or Joint/Group Reporting (check applicable line)				
PORTLANI	D, OR 97258						-	_X_ Form Filed by Form Filed by I Person	One Reporting Po More than One Ro			
(City)	(State)	(Zip)	Table	e I - Non-Deri	vative Sec	uritie	s Acqu	ired, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	arity (Month/Day/Year) Execution ar. 3) any		emed 3. on Date, if Transaction Code Day/Year) (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	12/31/2013	Â		J	3,374	A	\$ <u>(1)</u>	7,722 <u>(2)</u>	I	by Deferred		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. of D So B O E I S Fi (I
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Seeger Laureen ONE SW COLUMBIA STREET, SUITE 1200 PORTLAND, OR 97258	ÂX	Â	Â	Â		
Signatures						

Signatures

By: Steven L. Philpott, Attorney in Fact For: Laureen E. Seeger

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Not required.
- (2) Holdings reported include shares acquired pursuant to the Issuer's Director Compensation Plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date

02/07/2014