Edgar Filing: FARNSWORTH RON L - Form 4

FARNSWOR Form 4	TH RON L										
February 17, FORM Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti	bruary 17, 2010 ORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								OMB Number: Expires: Estimated burden hou response	urs per	
(Print or Type R	esponses)										
FARNSWORTH RON L Syr			Symbol	UMPQUA HOLDINGS CORP				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 3.] (M				3. Date of Earliest Transaction (Month/Day/Year) 02/16/2010				Director X Officer (giv below)		6 Owner er (specify	
PORTLAND	(Street) D. OR 97258			ndment, Dat th/Day/Year)	-					erson	
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	ecuri	ties Ac	Person quired, Disposed o	f. or Beneficia	llv Owned	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Ye	ear) Execut any	eemed	3.	4. Securit onAcquired Disposed (Instr. 3, Amount	ties (A) c of (D	or D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	•	
Common Stock	02/16/2010			М	882	A	\$ 5.7	28,886	D		
Common Stock								1,027	Ι	by 401(k)	
Common Stock								4,179	Ι	by IRA	
Common Stock								2,274	Ι	by Spouse IRA	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	 3A. Deemed Execution Date, if any (Month/Day/Year) 	4. Transactio Code (Instr. 8)	ionof Der Sect Acq (A) Disp of (1	rivative curities quired or posed (D) str. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A)) (D)	Date Exercisable	Expiration Date	Title	Amo or Nun of Si
Incentive Stock Option (right to buy)	\$ 5.7	02/16/2010		М		882	2 03/21/2001(1)	03/21/2010	Common Stock	8
Non-Qualified Stock Option (right to buy)	\$ 9.23						02/02/2010 <u>(3)</u>	02/01/2019	Common Stock	15,
Non-Qualified Stock Option (right to buy)	\$ 11.59						07/21/2009 <u>(4)</u>	07/20/2018	Common Stock	10,
Non-Qualified Stock Option (right to buy)	\$ 11.89						02/05/2011 <u>(5)</u>	02/05/2020	Common Stock	40,
Non-Qualified Stock Option (right to buy)	\$ 13.34						01/02/2003(1)	01/02/2012	Common Stock	1,5
Non-Qualified Stock Option (right to buy)	\$ 15.5						01/28/2009 <u>(6)</u>	01/27/2018	Common Stock	20,
Non-Qualified Stock Option (right to buy)	\$ 22.94						04/19/2006(7)	04/19/2015	Common Stock	10,
Non-Qualified Stock Option (right to buy)	\$ 23.49						01/20/2006(1)	01/20/2015	Common Stock	2,5

Reporting Owners

Reporting Owner Name / Address		Relation						
	Director	10% Owner	Officer	Other				
FARNSWORTH RON L ONE SW COLUMBIA STREET, SUITE 1200 PORTLAND, OR 97258			EVP/CFO					
Signatures								
By: Steven L. Philpott, Attorney in Fact For: Ror Farnsworth	n 02/17/2010							
<u>**</u> Signature of Reporting Person			Date					
Explanation of Responses:								
If the form is filed by more than one reporting person, <i>see</i> Instruction 4(b)(v).								
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).								

- (1) All options are fully vested.
- (2) Not required.
- (3) Option granted 2/2/09. Beginning on the first anniversary of the grant date, the options vest 20% per year for five years.
- (4) Option granted 7/21/08. Beginning on the first anniversary of the grant date, the options vest 20% per year for five years.
- (5) Option granted 2/5/10. Beginning on the first anniversary of the grant date, the options vest 20% per year for five years.
- (6) Option granted 1/28/08. Beginning on the first anniversary of the grant date, the options vest 20% per year for five years.
- (7) Option granted 4/20/05. Beginning on the day before the first anniversary of the grant date, the options vest 20% per year for five years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.