**NG DOMINIC** Form 4 March 12, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

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Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **NG DOMINIC** 

2. Issuer Name and Ticker or Trading

Symbol

EAST WEST BANCORP INC [EWBC]

5. Relationship of Reporting Person(s) to

(Check all applicable)

(Last)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

03/08/2018

\_X\_\_ Director 10% Owner Other (specify X\_ Officer (give title

below) Chief Executive Officer

EAST WEST BANCORP, INC., 135 N. LOS ROBLES AVE. 7TH

(Street)

(State)

**FLOOR** 

(City)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

PASADENA, CA 91101

								01 20110110101	.,
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, if any	Code	4. Securities on Dispose (Instr. 3, 4	d of (Ľ	<b>)</b> )	5. Amount of Securities Beneficially	6. Ownership Form:	7. Nature of Indirect Beneficial
		(Month/Day/Year)	(Instr. 8)  Code V	Amount	(A) or (D)	Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock	03/08/2018		M	180,784	A	<u>(1)</u>	644,736	D	
Common Stock	03/08/2018		F	84,177	D	\$ 67.59	560,559	D	
Common Stock							6,427	I	401 (k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exer	cisable and	7. Title and
Security	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date		Underlying
(Instr. 3)	or Exercise		any	Code Securities		(Month/Day/	Year)	(Instr. 3 and
	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A) or			
	Derivative				Disposed of (D)			
	Security				(Instr. 3, 4, and			
					5)			
						Date	Expiration	Title
				Code V	(A) (D)	Exercisable	Date	11116
				Code v	(11) (D)			
Performance-Based								C
Restricted Stock	<u>(1)</u>	03/08/2018		M	180,784	(1)	03/08/2018	Common
Units						<del>-</del>		Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

NG DOMINIC EAST WEST BANCORP, INC. 135 N. LOS ROBLES AVE. 7TH FLO

135 N. LOS ROBLES AVE. 7TH FLOOR

X Chief Executive Officer

PASADENA, CA 91101

### **Signatures**

/s/ Felicia Yu, as Attorney-in-fact 03/08/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Performance-Based Restricted Stock Units granted on February 27, 2015 fully vest in three years. Number of vested units depends on meeting pre-established performance criteria. As of March 8, 2018, 180.56% of the units granted on February 27, 2015 were earned and vested. On the settlement date, for each vested Performance-Based Restricted Stock Unit, the reporting person received one share of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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