

Edgar Filing: FEDERATED INVESTORS INC /PA/ - Form 4

FEDERATED INVESTORS INC /PA/

Form 4

March 16, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

FORM 4

// Check this box if no longer subject to Section 16, Form 4 or Form 5 obligations may continue. See Instruction 1(b)

(Print or Type Responses)

1. NAME AND ADDRESS OF REPORTING PERSON*

Maloney Eugene F.
(LAST) (FIRST) (MIDDLE)

c/o Federated Investors, Inc.
Federated Investors Tower
(STREET)

Pittsburgh PA 15222-3779
(CITY) (STATE) (ZIP)

2. ISSUER NAME AND TICKER OR TRADING SYMBOL

Federated Investors, Inc. FII

3. I.R.S. IDENTIFICATION NUMBER OF REPORTING PERSON, IF AN ENTITY (VOLUNTARY)

4. STATEMENT FOR MONTH/YEAR

1/2001

5. IF AMENDMENT, DATE OF ORIGINAL (MONTH/YEAR)

6. RELATIONSHIP OF REPORTING PERSON(S) TO ISSUER

(CHECK ALL APPLICABLE)

X Director 10% Owner
X Officer (give title below) Other (specify below)
VICE PRESIDENT

7. INDIVIDUAL OR JOINT/GROUP FILING (CHECK APPLICABLE LIMIT)

X Form filed by One Reporting Person
Form filed by More than One Reporting Person

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TABLE I -- NON-DERIVATIVE SECURITIES BENEFICIALLY OWNED

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Mon/day/year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) |
|------------------------------------|---------------------------------------|-----------------------------------|--|---|--|
| Class B Common Stock | | | | 153,525 (1) | D |
| Class B Common Stock | | | | 36,000 (1) | I |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. If the form is filed by more than one reporting person, see Instruction 4(b)(v).

FORM 4 (continued)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable or Expiration Date (Month/Day/Year) |
|---|--|---|-----------------------------------|--|--|
| | | | Code V | (A) (D) | Date Exercis |

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| | | | | | | |
|---|-----------|-----------|---|---|-------|-----------|
| Employee Stock Options (right to purchase) | \$29.8125 | 1/23/2001 | A | V | 7,000 | 1/23/2001 |
|---|-----------|-----------|---|---|-------|-----------|

| 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned at End of Month (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or indirect (I) (Instr. 4) |
|--|---|--|---|
|--|---|--|---|

| Title | Amount or Number of Shares |
|-------|----------------------------|
|-------|----------------------------|

| | | | | |
|----------------------|-------|---------|-------|---|
| Class B Common Stock | 7,000 | \$13.14 | 7,000 | D |
|----------------------|-------|---------|-------|---|

Explanation of Responses:

(1) On June 22, 2000 Federated Investors, Inc. announced a 3 for 2 stock split resulting in the reporting person's acquisition of 63,175 shares of Class B common stock.

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

/S/EUGENE F. MALONEY

MARCH 16, 2001

**Signature of Reporting Person

Date