DHILLON AVTAR S

Form 4

December 06, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

3235-0287 Number:

OMB APPROVAL

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

below)

DHILLON AVTAR S

Symbol

INOVIO PHARMACEUTICALS,

(Check all applicable)

INC. [INO]

12/06/2018

(Month/Day/Year)

(Middle)

(Last) (First) 3. Date of Earliest Transaction

X_ Director Officer (give title

10% Owner Other (specify

660 W. GERMANTOWN PIKE,

SUITE 110

(Instr. 3)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PLYMOUTH MEETING, PA 19462

(State)

(Street)

(City) (Zip) 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially (D) or Owned Following (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4)

(Month/Day/Year)

(A) or

Reported Transaction(s) (Instr. 3 and 4)

Code V Price Amount (D)

Common 12/06/2018 Stock

\$2 M 25,000 Α 106,002 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		(Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Numbe of Shar
Common Stock Options	\$ 2 (1)	12/06/2018		M	25,000 (1)	12/09/2008(2)	12/09/2018	Common Stock	25,00 (1)

5. Number of

TransactionDerivative

6. Date Exercisable and

Expiration Date

7. Title and Amount

Underlying Securitie

Reporting Owners

Conversion

Reporting Owner Name / Address

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

Director 10% Owner Officer Other

DHILLON AVTAR S
660 W. GERMANTOWN PIKE, SUITE 110 X
PLYMOUTH MEETING, PA 19462

Signatures

1. Title of

Derivative

Avtar S. Dhillon 12/06/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Gives effect to a 1-for-4 reverse stock split effected by the Issuer on June 5, 2014.
- (2) The shares underlying this option vested and became exercisable on various dates between December 9, 2008 and December 9, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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