

Edgar Filing: SUN LIFE FINANCIAL INC - Form 13F-HR

SUN LIFE FINANCIAL INC  
Form 13F-HR  
November 14, 2007

SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
Form 13F  
FORM 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: September 30, 2007

Check here if Amendment ; Amendment Number: \_\_\_\_\_  
This Amendment (Check only one.):  is a restatement.  
 adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Sun Life Financial Inc.  
Address: 150 King street West  
Toronto, Ontario, Canada, M5H 1J9

Form 13F File Number: 28-05799

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: J.M.A. Anderson  
Title: Executive Vice-President and Chief Investment Officer  
Phone: 416-979-6217

Signature, Place, and Date of Signing:

----- Toronto, Ontario, November 13, 2007  
[City, State] [Date]  
[Signature]

Report Type (Check only one.):

- 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)
- 13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)
- 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

List of Other Managers Reporting for this Manager:

[If there are no entries in this list, omit this section.]  
Form 13F File Number Name  
28-06597 McLean Budden  
28-04968 MFS

## Edgar Filing: SUN LIFE FINANCIAL INC - Form 13F-HR

Report Summary:

Number of Other Included Managers: 2  
 Form 13F Information Table Entry Total: 202  
 Form 13F Information Table Value Total: US\$1,715,605  
 (Thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

[If there are no entries in this list, state "NONE" and omit the column headings and list entries.]

No. Form 13F File Number Name  
 028-12046 1 Sun Capital Advisers LLC.  
 028-12047 2 Sun Life Assurance Company of Canada

[Repeat as necessary.]

Form 13F INFORMATION TABLE - SEPTEMBER 30, 2007

| COLUMN 1                  | COLUMN 2       | COLUMN 3  | COLUMN 4           | COLUMN 5           | COLUMN 6            |                          |
|---------------------------|----------------|-----------|--------------------|--------------------|---------------------|--------------------------|
| NAME OF ISSUER            | TITLE OF CLASS | CUSIP     | VALUE<br>(x\$1000) | SHRS OR<br>PRN AMT | SH/<br>PUT/<br>CALL | INVESTMENT<br>DISCRETION |
| 3M Corporation            | COM            | 88579Y101 | 1,639              | 17,512             | SH                  | SHARED                   |
| 3M Corporation            | COM            | 88579Y101 | 4,145              | 44,293             | SH                  | SHARED                   |
| Acme Packet Inc           | COM            | 004764106 | 15,380             | 1,000,000          | SH                  | SHARED                   |
| AERCAP HOLDINGS NV        | SHS            | N00985106 | 1,655              | 66,473             | SH                  | SHARED                   |
| AERCAP HOLDINGS NV        | SHS            | N00985106 | 4,184              | 168,096            | SH                  | SHARED                   |
| Altria Group Inc          | COM            | 02209S103 | 1,705              | 24,520             | SH                  | SHARED                   |
| Altria Group Inc          | COM            | 02209S103 | 4,322              | 62,166             | SH                  | SHARED                   |
| AT&T Inc                  | COM            | 00206R102 | 3,397              | 80,287             | SH                  | SHARED                   |
| AT&T Inc                  | COM            | 00206R102 | 8,598              | 203,223            | SH                  | SHARED                   |
| Biogen Idec Inc           | COM            | 09062X103 | 2,030              | 30,608             | SH                  | SHARED                   |
| Biogen Idec Inc           | COM            | 09062X103 | 5,133              | 77,386             | SH                  | SHARED                   |
| Boston Scientific         | COM            | 101137107 | 12,546             | 900,000            | SH                  | SHARED                   |
| Boston Scientific Corp    | COM            | 101137107 | 1,603              | 114,930            | SH                  | SHARED                   |
| Boston Scientific Corp    | COM            | 101137107 | 3,930              | 281,734            | SH                  | SHARED                   |
| Bristol-Myers Squibb      | COM            | 110122108 | 1,579              | 54,780             | SH                  | SHARED                   |
| Bristol-Myers Squibb      | COM            | 110122108 | 3,993              | 138,544            | SH                  | SHARED                   |
| Chevron Corporation       | COM            | 166764100 | 4,724              | 50,478             | SH                  | SHARED                   |
| Chevron Corporation       | COM            | 166764100 | 11,930             | 127,487            | SH                  | SHARED                   |
| Cisco Systems Inc         | COM            | 17275R102 | 2,444              | 73,774             | SH                  | SHARED                   |
| Cisco Systems Inc         | COM            | 17275R102 | 6,192              | 186,906            | SH                  | SHARED                   |
| Citadel Broadcasting Corp | COM            | 17285T106 | 15                 | 3,562              | SH                  | SHARED                   |
| Citadel Broadcasting Corp | COM            | 17285T106 | 38                 | 9,025              | SH                  | SHARED                   |
| Citigroup Inc             | COM            | 172967101 | 3,046              | 65,258             | SH                  | SHARED                   |
| Citigroup Inc             | COM            | 172967101 | 7,699              | 164,966            | SH                  | SHARED                   |
| Coca-Cola Company         | COM            | 191216100 | 1,770              | 30,795             | SH                  | SHARED                   |
| Coca-Cola Company         | COM            | 191216100 | 4,484              | 78,022             | SH                  | SHARED                   |
| Comcast Corp              | CL A           | 20030N101 | 1,762              | 72,851             | SH                  | SHARED                   |
| Comcast Corp              | CL A           | 20030N101 | 4,451              | 184,098            | SH                  | SHARED                   |

Edgar Filing: SUN LIFE FINANCIAL INC - Form 13F-HR

|                               |            |           |        |           |    |        |
|-------------------------------|------------|-----------|--------|-----------|----|--------|
| Concurrent Computer Corp      | COM        | 206710204 | 1,255  | 965,688   | SH | SHARED |
| Concurrent Computer Corp      | COM        | 206710204 | 3,181  | 2,447,158 | SH | SHARED |
| ConocoPhillips                | COM        | 20825C104 | 2,070  | 23,589    | SH | SHARED |
| ConocoPhillips                | COM        | 20825C104 | 5,229  | 59,580    | SH | SHARED |
| Corning Inc                   | COM        | 219350105 | 1,687  | 68,433    | SH | SHARED |
| Corning Inc                   | COM        | 219350105 | 4,275  | 173,410   | SH | SHARED |
| Cosi Inc                      | COM        | 22122P101 | 1,094  | 316,079   | SH | SHARED |
| Cosi Inc                      | COM        | 22122P101 | 2,771  | 800,817   | SH | SHARED |
| CVS Caremark Corporation      | COM        | 126650100 | 2,028  | 51,182    | SH | SHARED |
| CVS Caremark Corporation      | COM        | 126650100 | 5,139  | 129,674   | SH | SHARED |
| Developers Diversified Realty | COM        | 251591103 | 561    | 10,049    | SH | SHARED |
| Developers Diversified Realty | COM        | 251591103 | 4,204  | 75,254    | SH | SHARED |
| Dominion Resources Inc        | COM        | 25746U109 | 1,470  | 17,432    | SH | SHARED |
| Dominion Resources Inc        | COM        | 25746U109 | 3,726  | 44,199    | SH | SHARED |
| Douglas Emmett Inc-w/i        | COM        | 25960P109 | 120    | 4,856     | SH | SHARED |
| Douglas Emmett Inc-w/i        | COM        | 25960P109 | 899    | 36,337    | SH | SHARED |
| Dow Chemical Company          | COM        | 260543103 | 1,561  | 36,242    | SH | SHARED |
| Dow Chemical Company          | COM        | 260543103 | 3,947  | 91,664    | SH | SHARED |
| Duke Energy Corp              | COM        | 26441C105 | 1,545  | 82,688    | SH | SHARED |
| Duke Energy Corp              | COM        | 26441C105 | 3,915  | 209,497   | SH | SHARED |
| Dupont (EI) Nemours and Co    | COM        | 263534109 | 1,718  | 34,657    | SH | SHARED |
| Dupont (EI) Nemours and Co    | COM        | 263534109 | 4,351  | 87,799    | SH | SHARED |
| Eastgroup Properties          | COM        | 277276101 | 473    | 10,455    | SH | SHARED |
| Eastgroup Properties          | COM        | 277276101 | 3,552  | 78,488    | SH | SHARED |
| Eastman Kodak Co              | COM        | 277461109 | 1,341  | 50,128    | SH | SHARED |
| Eastman Kodak Co              | COM        | 277461109 | 3,399  | 127,010   | SH | SHARED |
| Eli Lilly & Co                | COM        | 532457108 | 1,492  | 26,202    | SH | SHARED |
| Eli Lilly & Co                | COM        | 532457108 | 3,779  | 66,376    | SH | SHARED |
| Equity Residential Properties | SH BEN INT | 29476L107 | 424    | 9,998     | SH | SHARED |
| Equity Residential Properties | SH BEN INT | 29476L107 | 3,130  | 73,889    | SH | SHARED |
| Exelon Corporation            | COM        | 30161N101 | 1,799  | 23,867    | SH | SHARED |
| Exelon Corporation            | COM        | 30161N101 | 4,564  | 60,566    | SH | SHARED |
| Exxon Mobil Corp              | COM        | 30231G102 | 7,452  | 80,507    | SH | SHARED |
| Exxon Mobil Corp              | COM        | 30231G102 | 18,934 | 204,564   | SH | SHARED |
| Fedex Corp                    | COM        | 31428X106 | 1,283  | 12,248    | SH | SHARED |
| Fedex Corp                    | COM        | 31428X106 | 3,251  | 31,037    | SH | SHARED |
| FHLMC                         | COM        | 313400301 | 1,383  | 23,439    | SH | SHARED |
| FHLMC                         | COM        | 313400301 | 3,504  | 59,388    | SH | SHARED |
| FINISAR Corporation           | COM        | 31787A101 | 5,610  | 2,018,000 | SH | SHARED |
| FNMA                          | COM        | 313586109 | 1,475  | 24,260    | SH | SHARED |
| FNMA                          | COM        | 313586109 | 3,739  | 61,480    | SH | SHARED |
| General Electric Company      | COM        | 369604103 | 7,498  | 181,108   | SH | SHARED |
| General Electric Company      | COM        | 369604103 | 18,964 | 458,064   | SH | SHARED |
| General Growth Properties     | COM        | 370021107 | 570    | 10,636    | SH | SHARED |
| General Growth Properties     | COM        | 370021107 | 4,267  | 79,578    | SH | SHARED |
| Gmh Communities Trust         | COM        | 36188G102 | 455    | 58,731    | SH | SHARED |
| Gmh Communities Trust         | COM        | 36188G102 | 3,395  | 438,106   | SH | SHARED |
| Goldman Sachs Group Inc       | COM        | 38141G104 | 2,602  | 12,003    | SH | SHARED |
| Goldman Sachs Group Inc       | COM        | 38141G104 | 6,601  | 30,454    | SH | SHARED |
| Google Inc-cl A               | CL A       | 38259P508 | 1,755  | 3,093     | SH | SHARED |
| Google Inc-cl A               | CL A       | 38259P508 | 4,442  | 7,831     | SH | SHARED |
| HCP Inc                       | COM        | 40414L109 | 476    | 14,364    | SH | SHARED |
| HCP Inc                       | COM        | 40414L109 | 3,517  | 106,040   | SH | SHARED |
| Hewlett Packard               | COM        | 428236103 | 1,824  | 36,629    | SH | SHARED |
| Hewlett Packard               | COM        | 428236103 | 4,620  | 92,796    | SH | SHARED |
| Highwoods Properties Inc      | COM        | 431284108 | 618    | 16,864    | SH | SHARED |

Edgar Filing: SUN LIFE FINANCIAL INC - Form 13F-HR

|                              |                |           |         |           |    |        |
|------------------------------|----------------|-----------|---------|-----------|----|--------|
| Highwoods Properties Inc     | COM            | 431284108 | 4,632   | 126,313   | SH | SHARED |
| Hilton Hotels Corp           | COM            | 432848109 | 196     | 4,217     | SH | SHARED |
| Hilton Hotels Corp           | COM            | 432848109 | 1,274   | 27,406    | SH | SHARED |
| Honeywell International Inc  | COM            | 438516106 | 1,966   | 33,063    | SH | SHARED |
| Honeywell International Inc  | COM            | 438516106 | 4,973   | 83,629    | SH | SHARED |
| Host Hotels and Resorts Inc  | COM            | 44107P104 | 497     | 22,158    | SH | SHARED |
| Host Hotels and Resorts Inc  | COM            | 44107P104 | 3,749   | 167,065   | SH | SHARED |
| IBM Corp                     | COM            | 459200101 | 2,207   | 18,737    | SH | SHARED |
| IBM Corp                     | COM            | 459200101 | 5,591   | 47,465    | SH | SHARED |
| Intel Corp                   | COM            | 458140100 | 1,933   | 74,763    | SH | SHARED |
| Intel Corp                   | COM            | 458140100 | 4,898   | 189,411   | SH | SHARED |
| International Paper Co       | COM            | 460146103 | 1,444   | 40,263    | SH | SHARED |
| International Paper Co       | COM            | 460146103 | 3,660   | 102,034   | SH | SHARED |
| Ishares                      | MSCI UTD KINGD | 464286699 | 3,369   | 131,000   | SH | SHARED |
| Ishares                      | MSCI FRANCE    | 464286707 | 4,276   | 111,200   | SH | SHARED |
| Ishares                      | MSCI GERMANY   | 464286806 | 915     | 26,500    | SH | SHARED |
| Ishares                      | MSCI MEXICO    | 464286822 | 1,655   | 28,400    | SH | SHARED |
| Ishares                      | MSCI JAPAN     | 464286848 | 7,533   | 525,706   | SH | SHARED |
| IShares MSCI EAFE            | IDX            | 464287465 | 35,418  | 428,838   | SH | SHARED |
| Ishares Tr                   | MSCI EAFE IDX  | 464287465 | 242,999 | 2,942,233 | SH | SHARED |
| Johnson & Johnson            | COM            | 478160104 | 2,360   | 35,927    | SH | SHARED |
| Johnson & Johnson            | COM            | 478160104 | 5,980   | 91,014    | SH | SHARED |
| JP Morgan Chase              | COM            | 46625H100 | 3,195   | 69,740    | SH | SHARED |
| JP Morgan Chase              | COM            | 46625H100 | 8,100   | 176,787   | SH | SHARED |
| Kimberly-Clark Corp          | COM            | 494368103 | 1,680   | 23,915    | SH | SHARED |
| Kimberly-Clark Corp          | COM            | 494368103 | 4,261   | 60,642    | SH | SHARED |
| Kimco Realty Corp            | COM            | 49446R109 | 661     | 14,628    | SH | SHARED |
| Kimco Realty Corp            | COM            | 49446R109 | 5,010   | 110,819   | SH | SHARED |
| Kraft Foods Inc              | CL A           | 50075N104 | 586     | 16,967    | SH | SHARED |
| Kraft Foods Inc              | CL A           | 50075N104 | 1,485   | 43,020    | SH | SHARED |
| Lexington Realty Trust       | COM            | 529043101 | 706     | 35,289    | SH | SHARED |
| Lexington Realty Trust       | COM            | 529043101 | 5,277   | 263,731   | SH | SHARED |
| Lowe's Companies             | COM            | 548661107 | 1,426   | 50,891    | SH | SHARED |
| Lowe's Companies             | COM            | 548661107 | 3,614   | 128,963   | SH | SHARED |
| Macerich Company             | COM            | 554382101 | 822     | 9,380     | SH | SHARED |
| Macerich Company             | COM            | 554382101 | 6,150   | 70,217    | SH | SHARED |
| Macy's Inc                   | COM            | 55616P104 | 1,285   | 39,749    | SH | SHARED |
| Macy's Inc                   | COM            | 55616P104 | 3,255   | 100,722   | SH | SHARED |
| Marvell Technology Group     | ORD            | G5876H105 | 1,475   | 90,092    | SH | SHARED |
| Marvell Technology Group     | ORD            | G5876H105 | 3,737   | 228,312   | SH | SHARED |
| Marvell Technology Group Ltd | COM            | G5876H105 | 14,733  | 900,000   | SH | SHARED |
| Massey Energy Co             | COM            | 576206106 | 2,898   | 132,835   | SH | SHARED |
| Massey Energy Co             | COM            | 576206106 | 7,343   | 336,515   | SH | SHARED |
| Medical Properties Trust Inc | COM            | 58463J304 | 502     | 37,682    | SH | SHARED |
| Medical Properties Trust Inc | COM            | 58463J304 | 3,746   | 281,215   | SH | SHARED |
| Merck & Co Inc               | COM            | 589331107 | 2,064   | 39,925    | SH | SHARED |
| Merck & Co Inc               | COM            | 589331107 | 5,228   | 101,139   | SH | SHARED |
| Merge Healthcare Inc         | COM            | 589981109 | 1,837   | 417,494   | SH | SHARED |
| Merge Healthcare Inc         | COM            | 589981109 | 4,524   | 1,028,171 | SH | SHARED |
| Merrill Lynch & Co Inc       | COM            | 590188108 | 1,576   | 22,110    | SH | SHARED |
| Merrill Lynch & Co Inc       | COM            | 590188108 | 4,052   | 56,843    | SH | SHARED |
| Microsoft Corp               | COM            | 594918104 | 3,115   | 105,726   | SH | SHARED |
| Microsoft Corp               | COM            | 594918104 | 7,891   | 267,853   | SH | SHARED |
| Mrv Communications Inc       | COM            | 553477100 | 2,922   | 1,178,210 | SH | SHARED |
| Mrv Communications Inc       | COM            | 553477100 | 7,184   | 2,896,634 | SH | SHARED |
| MRV Communications Inc       | COM            | 553477100 | 12,613  | 5,106,503 | SH | SHARED |
| Nationwide Health Pptys Inc  | COM            | 638620104 | 381     | 12,653    | SH | SHARED |
| Nationwide Health Pptys Inc  | COM            | 638620104 | 2,852   | 94,640    | SH | SHARED |
| Omnicare                     | COM            | 681904108 | 16,939  | 511,300   | SH | SHARED |

Edgar Filing: SUN LIFE FINANCIAL INC - Form 13F-HR

|                                |            |           |         |           |    |        |
|--------------------------------|------------|-----------|---------|-----------|----|--------|
| Oracle Corporation             | COM        | 68389X105 | 1,931   | 89,173    | SH | SHARED |
| Oracle Corporation             | COM        | 68389X105 | 4,891   | 225,924   | SH | SHARED |
| Penn Real Estate Investment Tr | SH BEN INT | 709102107 | 813     | 20,885    | SH | SHARED |
| Penn Real Estate Investment Tr | SH BEN INT | 709102107 | 6,119   | 157,146   | SH | SHARED |
| Pepsico Inc                    | COM        | 713448108 | 1,740   | 23,748    | SH | SHARED |
| Pepsico Inc                    | COM        | 713448108 | 4,400   | 60,054    | SH | SHARED |
| Pfizer Inc                     | COM        | 717081103 | 1,986   | 81,313    | SH | SHARED |
| Pfizer Inc                     | COM        | 717081103 | 5,039   | 206,277   | SH | SHARED |
| Plum Creek Timber Co Common    | COM        | 729251108 | 779     | 17,393    | SH | SHARED |
| Plum Creek Timber Co Common    | COM        | 729251108 | 5,799   | 129,568   | SH | SHARED |
| Powershares QQQ Nasdaq 100     | COM        | 73935A104 | 20,192  | 393,000   | SH | SHARED |
| Procter & Gamble Co            | COM        | 742718109 | 2,520   | 35,826    | SH | SHARED |
| Procter & Gamble Co            | COM        | 742718109 | 6,385   | 90,770    | SH | SHARED |
| Prologis                       | SH BEN INT | 743410102 | 853     | 12,849    | SH | SHARED |
| Prologis                       | SH BEN INT | 743410102 | 6,313   | 95,143    | SH | SHARED |
| Public Storage                 | COM        | 74460D109 | 441     | 5,601     | SH | SHARED |
| Public Storage                 | COM        | 74460D109 | 3,276   | 41,656    | SH | SHARED |
| Qualcom Inc                    | COM        | 747525103 | 1,528   | 36,149    | SH | SHARED |
| Qualcom Inc                    | COM        | 747525103 | 3,870   | 91,582    | SH | SHARED |
| Rackable Systems Inc           | COM        | 750077109 | 1,714   | 132,138   | SH | SHARED |
| Rackable Systems Inc           | COM        | 750077109 | 4,202   | 323,952   | SH | SHARED |
| Rackable Systems Inc           | COM        | 750077109 | 12,189  | 940,500   | SH | SHARED |
| Regency Centers Corp           | COM        | 758849103 | 517     | 6,737     | SH | SHARED |
| Regency Centers Corp           | COM        | 758849103 | 3,818   | 49,744    | SH | SHARED |
| Simon Property Group Inc       | COM        | 828806109 | 1,153   | 11,528    | SH | SHARED |
| Simon Property Group Inc       | COM        | 828806109 | 8,602   | 86,022    | SH | SHARED |
| SL Green Realty Corp           | COM        | 78440X101 | 761     | 6,517     | SH | SHARED |
| SL Green Realty Corp           | COM        | 78440X101 | 5,673   | 48,586    | SH | SHARED |
| SPDR Trust                     | COM        | 78462F103 | 692,878 | 4,538,405 | SH | SHARED |
| Sprint Nextel Corp             | COM        | 852061100 | 1,582   | 83,275    | SH | SHARED |
| Sprint Nextel Corp             | COM        | 852061100 | 4,002   | 210,615   | SH | SHARED |
| Tenet Healthcare Corp          | COM        | 88033G100 | 1,678   | 499,374   | SH | SHARED |
| Tenet Healthcare Corp          | COM        | 88033G100 | 5,618   | 1,672,166 | SH | SHARED |
| Time Warner Inc                | COM        | 887317105 | 1,693   | 92,211    | SH | SHARED |
| Time Warner Inc                | COM        | 887317105 | 4,272   | 232,682   | SH | SHARED |
| United Technologies Corp       | COM        | 913017109 | 1,946   | 24,185    | SH | SHARED |
| United Technologies Corp       | COM        | 913017109 | 4,925   | 61,194    | SH | SHARED |
| Unitedhealth Group Inc         | COM        | 91324P102 | 1,554   | 32,093    | SH | SHARED |
| Unitedhealth Group Inc         | COM        | 91324P102 | 3,931   | 81,167    | SH | SHARED |
| Ventas Inc                     | COM        | 92276F100 | 949     | 22,920    | SH | SHARED |
| Ventas Inc                     | COM        | 92276F100 | 7,080   | 171,024   | SH | SHARED |
| Verizon Communications Inc     | COM        | 92343V104 | 1,605   | 36,244    | SH | SHARED |
| Verizon Communications Inc     | COM        | 92343V104 | 4,059   | 91,661    | SH | SHARED |
| Viacom Inc-class B             | CL B       | 92553P201 | 1,525   | 39,121    | SH | SHARED |
| Viacom Inc-class B             | CL B       | 92553P201 | 3,863   | 99,121    | SH | SHARED |
| Vornado Realty Trust           | SH BEN INT | 929042109 | 774     | 7,080     | SH | SHARED |
| Vornado Realty Trust           | SH BEN INT | 929042109 | 5,782   | 52,872    | SH | SHARED |
| Wachovia Corp                  | COM        | 929903102 | 1,931   | 38,506    | SH | SHARED |
| Wachovia Corp                  | COM        | 929903102 | 4,857   | 96,859    | SH | SHARED |
| Wal-Mart Stores Inc            | COM        | 931142103 | 1,293   | 29,613    | SH | SHARED |
| Wal-Mart Stores Inc            | COM        | 931142103 | 3,277   | 75,073    | SH | SHARED |
| Walt Disney Co                 | COM        | 254687106 | 1,595   | 46,387    | SH | SHARED |
| Walt Disney Co                 | COM        | 254687106 | 4,042   | 117,528   | SH | SHARED |
| Wellpoint Inc                  | COM        | 94973V107 | 1,489   | 18,863    | SH | SHARED |
| Wellpoint Inc                  | COM        | 94973V107 | 3,771   | 47,786    | SH | SHARED |
| Wells Fargo & Co               | COM        | 949746101 | 3,119   | 87,560    | SH | SHARED |
| Wells Fargo & Co               | COM        | 949746101 | 7,936   | 222,784   | SH | SHARED |
| Wyeth                          | COM        | 983024100 | 1,442   | 32,373    | SH | SHARED |
| Wyeth                          | COM        | 983024100 | 3,655   | 82,033    | SH | SHARED |

1,715,605 47,347,467