

CONSUMER PORTFOLIO SERVICES INC

Form 4

February 18, 2014

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Haskell Richard Brian

2. Issuer Name and Ticker or Trading Symbol  
CONSUMER PORTFOLIO SERVICES INC [CPSS]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Sr. Vice President

(Last) (First) (Middle)

C/O CONSUMER PORTFOLIO SERVICES, 19500 JAMBOREE ROAD

3. Date of Earliest Transaction (Month/Day/Year)  
02/13/2014

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

IRVINE, CA 92612

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | 5. Amount or Price | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|--------------------|---|--|-----------------------------------|
| common stock, no par value      | 02/13/2014                           |  | M                              | 9,200   | A          | \$ 0.77            | 9,200   | D  |                                   |
| common stock, no par value      | 02/13/2014                           |  | S                              | 9,200   | D          | \$ 7.75            | 0   | D  |                                   |
| common stock, no par value      | 02/14/2014                           |  | M                              | 14,800  | A          | \$ 0.77            | 14,800  | D  |                                   |

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|                            |            |   |        |   |                      |        |   |
|----------------------------|------------|---|--------|---|----------------------|--------|---|
| common stock, no par value | 02/14/2014 | M | 5,000  | A | \$ 1.5               | 19,800 | D |
| common stock, no par value | 02/14/2014 | M | 10,000 | A | \$ 1.5               | 29,800 | D |
| common stock, no par value | 02/14/2014 | M | 7,200  | A | \$ 1.81              | 37,000 | D |
| common stock, no par value | 02/14/2014 | M | 10,000 | A | \$ 1.03              | 47,000 | D |
| common stock, no par value | 02/14/2014 | M | 2,500  | A | \$ 1.5               | 49,500 | D |
| common stock, no par value | 02/14/2014 | M | 2,500  | A | \$ 1.5               | 52,000 | D |
| common stock, no par value | 02/14/2014 | M | 3,600  | A | \$ 1.2               | 55,600 | D |
| common stock, no par value | 02/14/2014 | M | 1,400  | A | \$ 1.94              | 57,000 | D |
| common stock, no par value | 02/14/2014 | S | 57,000 | D | \$ <u>7.5</u><br>(1) | 0      | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |   | 6. Date Exercisable and Expiration Date (Month/Day/Year) |     | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                 |
|--|--|--------------------------------------|--|--------------------------------|---|---|--|-----|---|-----------------|
|  |  |                                      |  |                                | Code  | V | (A)  | (D) | Date Exercisable  | Expiration Date |

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|                                      |         |            |   |        |            |            |                            |        | of Shares |
|--------------------------------------|---------|------------|---|--------|------------|------------|----------------------------|--------|-----------|
| Employee stock option (right to buy) | \$ 0.77 | 02/13/2014 | M | 9,200  | <u>(2)</u> | 05/13/2019 | Common stock, no par value | 9,200  |           |
| Employee stock option (right to buy) | \$ 0.77 | 02/14/2014 | M | 14,800 | <u>(2)</u> | 05/13/2019 | Common stock, no par value | 14,800 |           |
| Employee stock option (right to buy) | \$ 1.5  | 02/14/2014 | M | 5,000  | <u>(2)</u> | 07/30/2017 | Common stock, no par value | 5,000  |           |
| Employee stock option (right to buy) | \$ 1.5  | 02/14/2014 | M | 10,000 | <u>(2)</u> | 01/30/2018 | Common stock, no par value | 10,000 |           |
| Employee stock option (right to buy) | \$ 1.81 | 02/14/2014 | M | 7,200  | <u>(2)</u> | 04/27/2020 | Common stock, no par value | 7,200  |           |
| Employee stock option (right to buy) | \$ 1.03 | 02/14/2014 | M | 10,000 | <u>(2)</u> | 06/03/2021 | Common stock, no par value | 10,000 |           |
| Employee stock option (right to buy) | \$ 1.5  | 02/14/2014 | M | 2,500  | <u>(2)</u> | 11/23/2021 | Common stock, no par value | 2,500  |           |
| Employee stock option (right to buy) | \$ 1.5  | 02/14/2014 | M | 2,500  | <u>(2)</u> | 11/23/2021 | Common stock, no par value | 2,500  |           |
| Employee stock option (right to buy) | \$ 1.2  | 02/14/2014 | M | 3,600  | <u>(2)</u> | 04/03/2022 | Common stock, no par value | 3,600  |           |

|  |         |            |   |       |     |            |                                  |       |
|--|---------|------------|---|-------|-----|------------|----------------------------------|-------|
| Employee<br>stock<br>option<br>(right to<br>buy) | \$ 1.94 | 02/14/2014 | M | 1,400 | (2) | 07/16/2022 | Common<br>stock, no<br>par value | 1,400 |
|--|---------|------------|---|-------|-----|------------|----------------------------------|-------|

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                    |       |
|---|---------------|-----------|--------------------|-------|
|   | Director      | 10% Owner | Officer            | Other |
| Haskell Richard Brian<br>C/O CONSUMER PORTFOLIO SERVICES<br>19500 JAMBOREE ROAD<br>IRVINE, CA 92612 |               |           | Sr. Vice President |       |

## Signatures

/s/ Richard B.  
Haskell

02/18/2014

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$7.50 to \$7.51. The price set forth above reflects the

- (1) weighted-average price per share. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- (2) Becomes or became exercisable in installments, on various dates.
- (3) Issued in consideration of the named person's service as an officer of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.