#### Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

#### UNITED TECHNOLOGIES CORP /DE/

Form 4

August 14, 2006

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

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January 31,

2005

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obligations

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

Stock

Stock

Common

(Print or Type Responses)

1. Name and Address of Reporting Person ** FINGER STEPHEN N			2. Issuer Name <b>and</b> Ticker or Trading Symbol UNITED TECHNOLOGIES CORP /DE/ [UTX]						5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
	ECHNOLOGIES TION, ONE	Middle)	3. Date of (Month/E) 08/10/2	· ·					Director 10% Owner X Officer (give title Other (specify below) below)  President, Pratt & Whitney			
	(Street)		4. If Ame Filed(Mon			te Original			6. Individual or Jo Applicable Line) _X_ Form filed by C	One Reporting Per	rson	
HARTFOR	D, CT 06101								Form filed by M Person	Iore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - No	on-D	erivative S	Securi	ties Acqı	iired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution any		3. Transa Code (Instr.	8)	4. Securitin(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	08/10/2006			M		32,000	A	\$ 17.25	44,086	D		
Common Stock	08/10/2006			F		9,132	D	\$ 60.45	34,954	D		
Common Stock	08/10/2006			F		8,907	D	\$ 60.45	26,047 (1)	D		

60.45

20,688.849

Ι

By Savings

Plan

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Trustee

Common

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

32,000 02/03/2000 02/02/2007

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying	7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nu of S	
Non-Qualified								~		

M

### **Reporting Owners**

\$ 17.25

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
FINGER STEPHEN N UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101			President, Pratt & Whitney				

# **Signatures**

**Stock Option** 

(right to buy)

By: /s/ Charles F. Hildebrand as Attorney-in-Fact 08/14/2006

\*\*Signature of Reporting Person Date

08/10/2006

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person also directly owns 5,600 shares of United Technologies Career Restricted Common Stock and 50,000 shares of Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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