

TEVENS TIMOTHY T

Form 4

August 03, 2011

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TEVENS TIMOTHY T

2. Issuer Name **and** Ticker or Trading
Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY

3. Date of Earliest Transaction
(Month/Day/Year)
08/01/2011

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President & CEO

(Street)
AMHERST, NY 14228-1197

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock					160,933 ⁽¹⁾	D	
Common Stock	08/01/2011		M	6,705 A \$ 10	167,638	D	
Common Stock	08/01/2011		M	13,295 A \$ 10	180,933	D	
Common Stock	08/01/2011		S	700 ⁽²⁾ D \$ 16.22	180,233	D	
Common Stock	08/01/2011		S	900 ⁽²⁾ D \$ 16.26	179,333	D	

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Common Stock	08/01/2011	S	500 <u>(2)</u>	D	\$ 16.27	178,833	D
Common Stock	08/01/2011	S	200 <u>(2)</u>	D	\$ 16.28	178,633	D
Common Stock	08/01/2011	S	500 <u>(2)</u>	D	\$ 16.3	178,133	D
Common Stock	08/01/2011	S	100 <u>(2)</u>	D	\$ 16.31	178,033	D
Common Stock	08/01/2011	S	900 <u>(2)</u>	D	\$ 16.32	177,133	D
Common Stock	08/01/2011	S	1,000 <u>(2)</u>	D	\$ 16.33	176,133	D
Common Stock	08/01/2011	S	1,400 <u>(2)</u>	D	\$ 16.34	174,733	D
Common Stock	08/01/2011	S	800 <u>(2)</u>	D	\$ 16.35	173,933	D
Common Stock	08/01/2011	S	300 <u>(2)</u>	D	\$ 16.36	173,633	D
Common Stock	08/01/2011	S	300 <u>(2)</u>	D	\$ 16.37	173,333	D
Common Stock	08/01/2011	S	1,100 <u>(2)</u>	D	\$ 16.38	172,233	D
Common Stock	08/01/2011	S	487 <u>(2)</u>	D	\$ 16.39	171,746	D
Common Stock	08/01/2011	S	502 <u>(2)</u>	D	\$ 16.4	171,244	D
Common Stock	08/01/2011	S	100 <u>(2)</u>	D	\$ 16.41	171,144	D
Common Stock	08/01/2011	S	11 <u>(2)</u>	D	\$ 16.42	171,133	D
Common Stock	08/01/2011	S	300 <u>(2)</u>	D	\$ 16.45	170,833	D
Common Stock	08/01/2011	S	100 <u>(2)</u>	D	\$ 16.46	170,733	D
Common Stock	08/01/2011	S	200 <u>(2)</u>	D	\$ 16.49	170,533	D
Common Stock	08/01/2011	S	193 <u>(2)</u>	D	\$ 16.58	170,340	D
Common Stock	08/01/2011	S	100 <u>(2)</u>	D	\$ 16.6	170,240	D
	08/01/2011	S	500 <u>(2)</u>	D	\$ 16.61	169,740	D

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Common Stock								
Common Stock	08/01/2011	S	100 ⁽²⁾	D	\$ 16.615	169,640	D	
Common Stock	08/01/2011	S	100 ⁽²⁾	D	\$ 16.62	169,540	D	
Common Stock						5,756.2592 ⁽³⁾	D	
Common Stock						7,000	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 10	08/01/2011		M	6,705	08/20/2002 08/19/2011	Common Stock	6,705
Non-Qualified Stock Options (Right to Buy)	\$ 10	08/01/2011		M	13,295	08/20/2002 08/19/2011	Common Stock	13,295
Incentive Stock Options (Right to Buy)	\$ 5.46					05/17/2005 05/16/2014	Common Stock	95,000
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009 05/18/2018	Common Stock	8,000
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010 05/17/2019	Common Stock	45,000

Non-Qualified Stock Options (Right to Buy)	\$ 18.24	05/17/2011	05/16/2020	Common Stock	33
Non-Qualified Stock Options (Right to Buy)	\$ 19.5	05/23/2011	05/22/2021	Common Stock	31

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197	X		President & CEO	

Signatures

Timothy T.
Tevens 08/03/2011

__Signature of
Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 57,775 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 12,055 shares become fully vested and non-forfeitable 50% for two years beginning 5/18/2012; 3,086 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/19/2012, 5,133 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/17/2012, 20,604 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/17/2012, and the remaining 16,897 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (2) Sale of shares pursuant to Rule 10b5-1 Plan.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP") (incorrectly reported as 5,753.2099 on previous Form 4)..
- (4) Originally a 125,000 incentive stock option grant, reporting person exercised 30,000 options on 5/27/2009. The remaining 95,000 options are fully exercisable, subject to IRS limitations.
- (5) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive
- (8) Plan dated as of July 26, 2010; options become exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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