

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-B

Nicholas-Applegate Equity & Convertible Income Fund  
Form NSAR-B  
March 25, 2010

PAGE 1  
000 B000000 01/31/2010  
000 C000000 0001383441  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 NICHOLAS-APPLEGATE EQUITY & CONVERT INCOME FD  
001 B000000 811-21989  
001 C000000 2127393371  
002 A000000 1345 AVENUE OF AMERICAS  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10105  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
008 B000001 A  
008 C000001 801-31227  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10105  
008 A000002 NICHOLAS-APPLEGATE CAPITAL MANAGEMENT LLC  
008 B000002 S  
008 C000002 801-21442  
008 D010002 SAN DIEGO  
008 D020002 CA  
008 D030002 92101  
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
010 B000001 801-31227  
010 C010001 NEW YORK  
010 C020001 NY  
010 C030001 10105  
012 A000001 PNC GLOBAL INVESTMENT SERVICING  
012 B000001 84-58023  
PAGE 2  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 NEW YORK

# Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-B

013 B020001 NY  
013 B030001 10017  
014 A000001 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC  
014 B000001 8-041811  
014 A000002 NICHOLAS-APPLEGATE SECURITIES LLC  
014 B000002 8-045406  
014 A000003 ALLIANZ LIFE FINANCIAL SERVICES LLC  
014 B000003 8-013630  
014 A000004 QUESTAR CAPITAL CORP.  
014 B000004 8-050174  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 78  
019 C000000 ALLIANZGLO  
020 A000001 BANK OF AMERICA CORP.  
020 B000001 56-0906609  
020 C000001 67  
020 A000002 INSTINET  
020 B000002 13-2625132  
020 C000002 59  
020 A000003 MORGAN STANLEY  
020 B000003 36-3145972  
020 C000003 38  
020 A000004 ICAP CORPORATES, LLC  
020 B000004 13-3858854  
020 C000004 28  
020 A000005 JONESTRADING INSTITUTIONAL SERVICES LLC  
020 B000005 51-0484896  
020 C000005 19  
020 A000006 BNY BROKERAGE LLC  
020 B000006 13-3878175  
020 C000006 17  
020 A000007 CREDIT SUISSE GROUP  
020 B000007 13-5659485  
020 C000007 12  
020 A000008 COWEN & CO. LLC  
020 B000008 38-3698933  
020 C000008 12  
020 A000009 LAZARD FRERES  
PAGE 3  
020 B000009 13-5545100  
020 C000009 11  
020 A000010 HOWARD WEILL, INC.  
020 B000010 52-1794977  
020 C000010 8  
021 000000 307  
022 A000001 CREDIT SUISSE GROUP  
022 B000001 13-5659485  
022 C000001 56057  
022 D000001 56647  
022 A000002 BARCLAYS CAPITAL, INC.  
022 B000002 05-0364642  
022 C000002 25754  
022 D000002 34052  
022 A000003 WELLS FARGO & CO.  
022 B000003 41-1508325

# Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-B

022 C000003 22556  
022 D000003 20819  
022 A000004 MORGAN STANLEY  
022 B000004 36-3145972  
022 C000004 13676  
022 D000004 15038  
022 A000005 BANK OF AMERICA CORP.  
022 B000005 56-0906609  
022 C000005 13972  
022 D000005 8226  
022 A000006 DEUTSCHE BANK AG  
022 B000006 13-2944980  
022 C000006 6791  
022 D000006 11652  
022 A000007 JPMORGAN CHASE & CO.  
022 B000007 13-2624428  
022 C000007 12462  
022 D000007 3537  
022 A000008 CITIGROUP, INC.  
022 B000008 52-1568099  
022 C000008 6245  
022 D000008 3736  
022 A000009 THE GOLDMAN SACHS GROUP, INC.  
022 B000009 13-4019460  
022 C000009 3358  
022 D000009 6250  
022 A000010 RBC CAPITAL MARKETS CORP.  
022 B000010 13-5033225  
022 C000010 1986  
022 D000010 1984  
023 C000000 170411  
023 D000000 167628  
024 000000 Y  
025 A000001 CITIGROUP, INC.  
025 B000001 52-1568099  
PAGE 4  
025 C000001 D  
025 D000001 5251  
025 A000002 JPMORGAN CHASE & CO.  
025 B000002 13-2624428  
025 C000002 E  
025 D000002 5242  
025 A000003 BANK OF AMERICA CORP.  
025 B000003 56-0906609  
025 C000003 E  
025 D000003 3824  
025 A000004 CREDIT SUISSE GROUP  
025 B000004 13-5659485  
025 C000004 E  
025 D000004 3288  
025 A000005 CITIGROUP, INC.  
025 B000005 52-1568099  
025 C000005 E  
025 D000005 2603  
025 A000006 WELLS FARGO & CO.  
025 B000006 41-1508325  
025 C000006 E  
025 D000006 2545  
025 D000007 0  
025 D000008 0  
026 A000000 N  
026 B000000 N

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-B

026	C000000	N	
026	D000000	Y	
026	E000000	N	
026	F000000	N	
026	G010000	N	
026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
	PAGE	5	
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000	0.00	
030	C000000	0.00	
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	Y	
048	000000		1.000

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-B

048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
	PAGE	6
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	Y
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	Y
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
054	O000000	N
055	A000000	N
055	B000000	N
056	000000	Y
057	000000	N
058	A000000	N
059	000000	Y
060	A000000	Y
060	B000000	Y
061	000000	0
062	A000000	N
062	B000000	0.0
062	C000000	0.0
062	D000000	0.0
062	E000000	0.0
062	F000000	0.0
062	G000000	0.0
062	H000000	0.0
062	I000000	0.0
062	J000000	0.0

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-B

062	K000000	0.0
062	L000000	0.0
062	M000000	0.0
062	N000000	0.0
062	O000000	0.0
	PAGE	7
062	P000000	0.0
062	Q000000	0.0
062	R000000	0.0
063	A000000	0
063	B000000	0.0
066	A000000	Y
066	E000000	Y
067	000000	N
068	A000000	N
068	B000000	N
069	000000	N
070	A010000	Y
070	A020000	N
070	B010000	Y
070	B020000	Y
070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	Y
070	E010000	Y
070	E020000	N
070	F010000	Y
070	F020000	N
070	G010000	Y
070	G020000	N
070	H010000	Y
070	H020000	N
070	I010000	N
070	I020000	N
070	J010000	Y
070	J020000	Y
070	K010000	Y
070	K020000	N
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	N
070	N010000	Y
070	N020000	N
070	O010000	Y
070	O020000	N
070	P010000	N
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	Y
070	R020000	N
071	A000000	324345
071	B000000	336900
071	C000000	344755
071	D000000	94
	PAGE	8
072	A000000	12
072	B000000	4251
072	C000000	8631
072	D000000	0

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-B

072 E000000	3
072 F000000	3539
072 G000000	0
072 H000000	0
072 I000000	30
072 J000000	77
072 K000000	0
072 L000000	71
072 M000000	36
072 N000000	0
072 O000000	0
072 P000000	0
072 Q000000	0
072 R000000	65
072 S000000	21
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	41
072 X000000	3880
072 Y000000	0
072 Z000000	9005
072AA000000	15406
072BB000000	0
072CC010000	93536
072CC020000	0
072DD010000	22086
072DD020000	0
072EE000000	0
073 A010000	0.9900
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.1300
074 A000000	0
074 B000000	0
074 C000000	5251
074 D000000	47586
074 E000000	61537
074 F000000	276994
074 G000000	0
074 H000000	0
074 I000000	0
074 J000000	0
074 K000000	0
074 L000000	1337
074 M000000	5
074 N000000	392710
PAGE 9	
074 O000000	0
074 P000000	349
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	152
074 R040000	117
074 S000000	0
074 T000000	392092
074 U010000	22304
074 U020000	0
074 V010000	17.58
074 V020000	0.00
074 W000000	0.0000

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-B

074	X000000	8
074	Y000000	67099
075	A000000	0
075	B000000	353883
076	000000	15.83
077	A000000	Y
077	B000000	Y
077	C000000	Y
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	GREAT AMERICAN INSURANCE GROUP
080	B000000	NATIONAL UNION FIRE INSURANCE COMPANY
080	C000000	57500
081	A000000	Y
081	B000000	77
082	A000000	Y
082	B000000	250
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
	PAGE	10
085	B000000	N
086	A010000	0
086	A020000	0
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	65370K100
087	A030000	NIE
087	B010000	CONVERTIBLE BONDS & NOTES
087	B020000	65370K100
087	B030000	NIE
087	C010000	CONVERTIBLE PREFERRED STOCK
087	C020000	65370K100
087	C030000	NIE
088	A000000	N
088	B000000	N



Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-B

088 C000000 N

088 D000000 N

SIGNATURE LAWRENCE ALTADONNA

TITLE TREASURER & CEO